



I. SCHOOL INFORMATION AND COVER PAGE (To be Completed By All Charter Schools)

Created: 07/21/2015

Last updated: 07/22/2015

Please be advised that you will need to complete this task first (including signatures) before all of the other tasks assigned to you by your authorizer are visible on your task page. While completing this task, please ensure that you select the correct authorizer or you may not be assigned the correct tasks.

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1. SCHOOL NAME AND AUTHORIZER

(Select name from the drop down menu)

MOTT HALL CS (REGENTS) 320900861004

2. CHARTER AUTHORIZER

(For technical reasons, please re-select authorizer name from the drop down menu).

Regents-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 9

4. SCHOOL INFORMATION

	PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
	1260 Franklin Ave Annex Bronx, NY 10456	718-991-9139	718-991-9150	osigal@mottallcs.org

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Ofier Sigal
Title	Director of Operations
Emergency Phone Number (###-###-####)	██████████

5. SCHOOL WEB ADDRESS (URL)

mottallcharterschool.org

6. DATE OF INITIAL CHARTER

2010-12-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2012-08-01 00:00:00

8. FINAL VERIFIED BEDS ENROLLMENT FOR THE 2014-15 School Year as reported to Department's Office of Information and Reporting Services (via the NYC DOE for charter schools in NYC) in August.

204

9. GRADES SERVED IN SCHOOL YEAR 2014-15

Check all that apply

Grades Served	6, 7, 8
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10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

	Yes/No	Name of CMO/EMO
	No	

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11. FACILITIES

Will the School maintain or operate multiple sites?

	No, just one site.
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12. SCHOOL SITES

Please list the sites where the school will operate in 2015-16.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	1260 Franklin Ave Bronx, NY 10456	██████████	CSD 9	6,7,8	No	DOE space
Site 2						
Site 3						

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Michael Williams	██████████		██████████

Operational Leader	Ofier Sigal	██████████		██████████
Compliance Contact	Kassandra Diaz	██████████		██████████
Complaint Contact				

13. Are the School sites co-located?

No

Page 3

14. Were there any revisions to the school's charter during the 2014-2015 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

15. Name and Position of Individual(s) Who Completed the 2014-15 Annual Report.

Ofier Sigal, Director of Operations, Kassandra Diaz, Operations Manager

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).**

Responses Selected:

Yes

Signature, Head of Charter School

S. C. O.S.

Signature, President of the Board of Trustees

M.W.

O.S.

Thank you.

Page 1

Charter School Name:

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/reportcard.php?instid=80000071085&year=2014&createreport=1&enrollment=1&freelunch=1&attendance=1&teacherqual=1&teacherturnover=1&staffcounts=1&38ELA=1&38MATH=1&naep=1&nyseslat=1&elemELA=1&elemMATH=1&unweighte>



Appendix B: Total Expenditures and Administrative Expenditures per Child

Created: 07/21/2015

Last updated: 07/22/2015

Page 1

Charter School Name:

B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate '**Total Expenditures per Child**' take total expenditures (from the unaudited 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. (Integers Only. No dollar signs or commas).

Line 1: Total Expenditures	3630406
Line 2: Year End Per Pupil Count	204
Line 3: Divide Line 1 by Line 2	17796

2. Administrative Expenditures per Child

To calculate '**Administrative Expenditures per Child**' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

- Do not include the FTE of personnel dedicated to administration of the instructional programs.
- Do not include Employee Benefit costs or expenditures in the above calculations.
- A template for the Schedule of Functional Expenses is provided on page 20 of the 2014-15 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2014-15 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate 'Administrative Expenditures per Child**' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2014-15 Schedule of Functional Expenses) and divide by the year end per pupil count. (Integers Only. No dollar signs or commas).**

Line 1: Relevant Personnel Services Cost (Row)	544855
Line 2: Management and General Cost (Column)	36010
Line 3: Sum of Line 1 and Line 2	580865
Line 4: Year End Per Pupil Count	204
Line 5: Divide Line 3 by the Year End Per Pupil Count	2847

Thank you.



Financial

School Name:

Date:

School Fiscal Contact Name:

School Fiscal Contact Email:

School Fiscal Contact Phone:

District of Location:

Authorizer:

Years of Operation:

Facility:

Grades Currently Served:

Planned Grades at Full Capacity:

Enrollment:

Max Enrollment:

Year of Most Recent Data

School Fiscal Contact Phone:

School Audit Firm Name:

School Audit Contact Name:

School Audit Contact Email:

School Audit Contact Phone:

Latest Audit Period (through June 30):

Do Not Use this Box



Audit Supplemental Data Request Form

for Regents-Authorized Charter Schools

Mott Hall Charter School

August 1, 2015

Ofier Sigal

[REDACTED]

[REDACTED]

7

SED

3

Public

6th - 8th

6th - 8th

204

250

2015

718-589-0190

Schall and Ashenfarb

Joe Albano

jalbano@schallandashenfarb.com

212-268-2800

2014

Mott Hall Charter School2014

FILL IN GRAY CELLS

Mott Hall Charter School

STATEMENTS OF FINANCIAL POSITION

FOR THE YEARS ENDED JUNE 30,

	<u>2015</u>	<u>2014</u>
ASSETS		
CURRENT ASSETS		
Cash and cash equivalents	\$660,805	\$369,652
Grants and contracts receivable	8,483	80,308
Accounts receivables	25,084	41,962
Inventory	-	-
Prepaid Expenses	-	11,874
Contributions and other receivables	-	-
Other	<u>160,000</u>	<u>160,950</u>
TOTAL CURRENT ASSETS	\$854,372	\$664,746
OTHER ASSETS		
Investments	\$-	\$-
Property, Plant and Equipment, Net	390,757	109,222
Restricted Cash	<u>-</u>	<u>-</u>
OTHER ASSETS	\$390,757	\$109,222
TOTAL ASSETS	<u>\$1,245,129</u>	<u>\$773,968</u>
LIABILITIES AND NET ASSETS		
CURRENT LIABILITIES		
Accounts payable and accrued expenses	\$139,832	\$36,103
Accrued payroll and benefits	275,777	-
Refundable Advances	-	-
Dreferred Revenue	-	-
Current maturities of long-term debt	-	-
Short Term Debt - Bonds, Notes Payable	-	-
Other	<u>-</u>	<u>-</u>
TOTAL CURRENT LIABILITIES	\$415,609	\$36,103
LONG-TERM DEBT, net current maturities	\$-	\$-
TOTAL LIABILITIES	<u>\$415,609</u>	<u>\$36,103</u>
NET ASSETS		
Unrestricted	\$829,520	\$737,865
Temporarily restricted	<u>-</u>	<u>-</u>
TOTAL NET ASSETS	\$829,520	\$737,865
TOTAL LIABILITIES AND NET ASSETS	<u>\$1,245,129</u>	<u>\$773,968</u>

Check

-

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FILL IN GRAY CELLS

Mott Hall Charter School
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30,

	2015			2014
	Unrestricted	Temporarily Restricted	Total	Total
REVENUE, GAINS AND OTHER SUPPORT				
State & Local Operating Revenue	\$3,328,314	\$-	\$3,328,314	\$2,257,278
Federal - Title and IDEA	323,250	-	323,250	226,263
Federal - Other	-	-	-	-
State and City Grants	-	-	-	-
Contributions and private grants	37,495	-	37,495	59,451
After school revenue	-	-	-	-
Other	33,003	-	33,003	44,963
Food Service/Child Nutrition Program	-	-	-	-
TOTAL REVENUE, GAINS AND OTHER SUPPORT	\$3,722,062	\$-	\$3,722,062	\$2,587,955
EXPENSES				
Program Services				
Regular Education	\$2,214,548	\$-	\$2,214,548	\$1,445,330
Special Education	762,385	-	762,385	497,573
Other Programs	-	-	-	-
Total Program Services	\$2,976,933	\$-	\$2,976,933	\$1,942,902
Supporting Services				
Management and general	\$580,865	\$-	\$580,865	\$379,103
Fundraising	72,608	-	72,608	47,388
TOTAL OPERATING EXPENSES	\$3,630,406	\$-	\$3,630,406	\$2,369,393
SURPLUS / (DEFICIT) FROM SCHOOL OPERATIONS	\$91,656	\$-	\$91,656	\$218,562
Contributions				
Foundations	\$-	\$-	\$-	\$-
Individuals	-	-	-	-
Corporations	-	-	-	-
Fundraising	-	-	-	-
Interest income	-	-	-	-
Miscellaneous income	-	-	-	-
Net assets released from restriction	-	-	-	-
TOTAL SUPPORT AND OTHER REVENUE	\$-	\$-	\$-	\$-
CHANGE IN NET ASSETS	\$91,656	\$-	\$91,656	\$218,562
NET ASSETS BEGINNING OF YEAR	\$737,865	\$-	\$737,865	\$519,303
PRIOR YEAR/PERIOD ADJUSTMENTS	-	-	-	-
NET ASSETS - END OF YEAR	\$829,521	\$-	\$829,521	\$737,865



SCHALL Certified Public Accountants, LLC
& ASHENFARB

IRA L. SCHALL, CPA
DAVID C. ASHENFARB, CPA
MICHAEL L. SCHALL, CPA



**Audited Financial Statements In Accordance
With Government Auditing Standards**

June 30, 2015

307 Fifth Avenue, 15th Floor
New York, New York 10016
Tel: (212) 268-2800 Fax: (212) 268-2805
www.schallandashenfARB.com



INDEPENDENT AUDITORS' REPORT

To the Board of Trustees of
Mott Hall Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Hall Charter School as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Summarized Comparative Information

We have previously audited the School's 2014 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated October 7, 2014. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2014 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 15, 2015 on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.



Schall & Ashenfarb
Certified Public Accountants, LLC

October 15, 2015

MOTT HALL CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
AT JUNE 30, 2015
(With comparative totals at June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Assets		
Cash and cash equivalents	\$584,241	\$343,099
Restricted cash (Note 3)	76,600	26,552
Grant receivable - New York City (Note 4)	0	44,256
Government grants receivable	47,013	78,014
Prepaid expenses	0	11,874
Fixed assets, net (Note 5)	390,757	109,222
Security deposit (Note 8)	160,000	160,000
	<u>1,258,611</u>	<u>773,017</u>
Total assets	<u><u>\$1,258,611</u></u>	<u><u>\$773,017</u></u>
Liabilities and Net Assets		
Liabilities:		
Accounts payable and accrued expenses	\$386,933	\$35,153
Grant advance - New York City (Note 5)	13,446	0
	<u>400,379</u>	<u>35,153</u>
Total liabilities	<u>400,379</u>	<u>35,153</u>
Net Assets:		
Unrestricted	858,232	737,864
	<u>858,232</u>	<u>737,864</u>
Total net assets	<u>858,232</u>	<u>737,864</u>
Total liabilities and net assets	<u><u>\$1,258,611</u></u>	<u><u>\$773,017</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2015**
(With comparative totals for the year ended June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Unrestricted:		
Public Support and Revenue:		
Public school district: (Notes 2f and 5)		
Revenue - resident student enrollment	\$2,791,675	\$1,975,970
Revenue - students with special education services	501,990	262,514
Subtotal public school district revenue	<u>3,293,665</u>	<u>2,238,484</u>
Government grants (Note 2f)	357,899	245,057
Contributions (Note 2e)	37,495	10,415
Special event income	0	51,537
Food service subsidies and other income	<u>33,039</u>	<u>42,463</u>
Total public support and revenue	<u>3,722,098</u>	<u>2,587,956</u>
Expenses:		
Program services:		
Regular education	2,127,115	1,446,619
Special education	851,376	494,235
Total program services	<u>2,978,491</u>	<u>1,940,854</u>
Supporting services:		
Management and general	519,712	375,428
Fundraising	<u>103,527</u>	<u>53,113</u>
Total expenses	<u>3,601,730</u>	<u>2,369,395</u>
Change in net assets	120,368	218,561
Net assets - beginning	<u>737,864</u>	<u>519,303</u>
Net assets - ending	<u><u>\$858,232</u></u>	<u><u>\$737,864</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

MOTT HALL CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2015
(With comparative totals for the year ended June 30, 2014)

	Program Services			Supporting Services		Total Expenses 6/30/15	Total Expenses 6/30/14
	Regular Education	Special Education	Total	Management and General	Fundraising		
Salaries	\$1,327,788	\$572,859	\$1,900,647	\$200,502	\$79,016	\$2,180,165	\$1,383,919
Employee benefits and payroll taxes	250,128	107,915	358,043	37,770	14,891	410,704	296,225
Total personnel costs	<u>1,577,916</u>	<u>680,774</u>	<u>2,258,690</u>	<u>238,272</u>	<u>93,907</u>	<u>2,590,869</u>	<u>1,680,144</u>
Professional development	47,857	11,583	59,440	45,244		104,684	87,506
Professional fees	206,753	58,445	265,198	146,155	359	411,712	280,234
Student and staff recruitment			0	20,728		20,728	5,230
Curriculum and classroom expenses	93,125	22,540	115,665			115,665	85,885
Supplies and materials	68,964	22,994	91,958	5,024	1,980	98,962	45,945
Food services	11,220	2,716	13,936			13,936	46,169
Travel and conferences			0	21,874		21,874	6,499
Postage, printing, and copying	3,338	1,440	4,778	504	199	5,481	8,055
Insurance	10,407	4,490	14,897	1,572	619	17,088	14,231
Information technology	37,775	16,297	54,072	5,704	2,248	62,024	28,822
Non-capitalized equipment and furnishings	1,224	528	1,752	185	73	2,010	8,921
Repairs and maintenance	5,707	2,462	8,169	862	339	9,370	4,317
Depreciation	62,829	27,107	89,936	9,487	3,739	103,162	50,046
Indirect fundraising expenses			0		64	64	7,151
Other			0	24,101		24,101	10,240
Total other than personnel costs	<u>549,199</u>	<u>170,602</u>	<u>719,801</u>	<u>281,440</u>	<u>9,620</u>	<u>1,010,861</u>	<u>689,251</u>
Total expenses	<u>\$2,127,115</u>	<u>\$851,376</u>	<u>\$2,978,491</u>	<u>\$519,712</u>	<u>\$103,527</u>	<u>\$3,601,730</u>	<u>\$2,369,395</u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

MOTT HALL CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2015
(With comparative totals for the year ended June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Cash Flows from Operating Activities:		
Change in net assets	\$120,368	\$218,561
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation expense	103,162	50,046
Changes in assets and liabilities:		
Restricted cash	(50,048)	18
Grant receivable/advance - New York City	57,702	(44,256)
Government grants receivable	31,001	(73,885)
Prepaid expenses	11,874	(10,847)
Accounts payable and accrued expenses	351,780	(60,499)
Total adjustments	<u>505,471</u>	<u>(139,423)</u>
Net cash provided by operating activities	<u>625,839</u>	<u>79,138</u>
Cash Flows from Investing Activities:		
Purchases of furniture and equipment	<u>(384,697)</u>	<u>(14,993)</u>
Net cash used for investing activities	<u>(384,697)</u>	<u>(14,993)</u>
Net increase in cash and cash equivalents	241,142	64,145
Cash and cash equivalents - beginning	<u>343,099</u>	<u>278,954</u>
Cash and cash equivalents - ending	<u><u>\$584,241</u></u>	<u><u>\$343,099</u></u>
 Supplemental disclosures:		
Interest paid - \$0		
Taxes paid - \$0		

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015**

Note 1 - Organization and Nature of Activities

Mott Hall Charter School (the "School"), located in Bronx, New York, is a not-for-profit education corporation chartered by the Regents of the University of the State of New York. The School provides a full range of educational services appropriate for the sixth, seventh and eighth grade levels. The School completed the 2014-2015 fiscal year with an average enrollment of approximately 200 students. The School is a publicly funded, privately managed school, which is independent of the New York City Department of Education ("NYCDOE").

On June 27, 2011, the School was granted a provisional charter by the University of the State of New York, Education Department for a term up through and including December 14, 2016. Such provisional charter may be extended upon application for a term of up to five years in accordance with the provisions of Article 56 of the Education Law.

The School is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes has been reflected in the accompanying financial statements. They have not been designated as a private foundation.

Note 2 - Significant Accounting Policies

a. Basis of Presentation

The accompanying financial statements have been prepared using the accrual basis of accounting which is the process of recognizing revenue and expenses when earned or incurred rather than when received or paid.

Net assets are classified based upon the existence or absence of donor-imposed restrictions as follows:

- *Unrestricted* – represent those resources for which there are no restrictions by donors as to their use.
- *Temporarily restricted* – represent those resources, the uses of which have been restricted by donors to specific purposes or the passage of time. The release from restrictions results from the satisfaction of the restricted purposes specified by the donor. Temporarily restricted contributions, the requirements of which are met in the year of donation, are reported as unrestricted. The School did not have any temporary restricted net assets at June 30, 2015 or June 30, 2014.
- *Permanently restricted* – accounts for activity restricted by donors that must remain intact in perpetuity. The School did not have any permanently restricted net assets at June 30, 2015 or June 30, 2014.

b. Cash and Cash Equivalents

The School considers all liquid investments purchased with a maturity of three months or less to be cash and cash equivalents. Restricted cash has been classified separately.

c. Concentration of Credit

Financial instruments which potentially subject the School to concentration of credit risk consist of checking accounts, which have been placed with a financial institution that management deems to be creditworthy. From time-to-time, cash balances may be in excess of insurance levels. At year-end, there was a significant uninsured balance; however, the School has not experienced any losses due to bank failure.

d. Capitalization Policy

Computer hardware, furniture, equipment and assembling of the website are capitalized at cost or at the fair value at the date of gift, if donated. The School capitalizes fixed assets in excess of pre-defined amounts that have a useful life of more than one year. Depreciation was computed using the straight-line method over the estimated useful lives of the respective assets, which generally are between 3 and 7 years.

e. Contributions

Contributions are recorded as revenue at the earlier of the receipt of cash or when a pledge is considered unconditional in nature. Contributions are available for unrestricted use, unless specifically restricted by the donor, in which case they are recorded in one of the restricted classes of net assets, depending on the nature of the restriction.

Contributions expected to be received within one year are recorded at their net realizable value. Long-term pledges are recorded at fair value using a risk adjusted discount rate. Conditional contributions are recognized as income when the conditions have been substantially met.

f. Revenue – Public School District and Government Grants

Program revenue is recognized based on student attendance using rates established by the School's funding source in the period during which services are provided.

The terms of each government grant are reviewed to determine if they contain traits more closely associated with contributions or exchange transactions. Management has determined that all existing government grants are exchange transactions because they are similar in nature to contracts for service. The difference between cash received and revenue recognized is reflected as government grants receivable or refundable advances.

g. Donated Services

Donated services are recognized in circumstances where those services create or enhance non-financial assets or require specialized skills, are provided by individuals possessing those skills and would typically need to be purchased if not provided in-kind.

Board members and other individuals volunteer their time and perform a variety of tasks that assist the School. These services do not meet the criteria outlined above and have not been recorded in the financial statements.

- h. Functional Allocation of Expenses
The costs of providing various programs and other activities have been summarized on a functional basis in the accompanying financial statements. Accordingly, certain costs have been allocated among the programs and supporting services benefited.
- i. Use of Estimates
The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.
- j. Contingencies
Government contracts are subject to audit by the grantor. Management does not believe that any audits, if they were to occur, would result in material disallowed costs, and has not established any reserves. Any disallowed costs would be recorded in the period notified.
- k. Accounting for Uncertainty in Income Taxes
The School does not believe its financial statements include any material, uncertain tax positions. June 30, 2013 was the initial filing, and tax filings for the periods since then are subject to examination by applicable taxing authorities.
- l. Comparative Financial Information
The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the School's financial statements for the year ended June 30, 2014 from which the summarized information was derived.
- m. Subsequent Events
Management has evaluated for potential recognition and disclosure events subsequent to the date of the statement of financial position through October 15, 2015, the date the financial statements were available to be issued. No events have occurred subsequent to the statement of financial position date through our evaluation date that would require adjustment to or disclosure in the financial statements.

Note 3 - Restricted Cash

An escrow account has been established to meet the requirement of the Board of Regents of the State of New York. The purpose of this account is to ensure sufficient funds are available for an orderly dissolution or transition process in the event of termination of the charter or school closure.

Note 4 - Advance Payable/Grant Receivable – New York City Department of Education

	<u>6/30/15</u>	<u>6/30/14</u>
Beginning (advance payable)/grant receivable	\$44,256	\$0
Funding based on allowable FTE's	3,293,665	2,238,484
Advances received	<u>(3,351,367)</u>	<u>(2,194,228)</u>
Ending advance payable	<u>(\$13,446)</u>	<u>\$44,256</u>

Note 5 - Furniture and Equipment

Fixed assets consisted of the following:

	<u>6/30/15</u>	<u>6/30/14</u>
Furniture and equipment	\$567,990	\$183,293
Less: accumulated depreciation	<u>(177,233)</u>	<u>(74,071)</u>
Total fixed assets, net	<u>\$390,757</u>	<u>\$109,222</u>

Note 6 - Significant Concentrations

The School and NYCDOE signed an agreement, which permits the school to operate the charter. Approximately 88% and 86%, of the School's total public support and revenue was received from NYCDOE in 2015 and 2014, respectively. If NYCDOE were to discontinue funding, it would have a severe economic impact on the School's ability to operate.

Note 7- Donated Space

The School is located in a New York City Department of Education facility and utilizes approximately 7,000 square feet at no charge. The School was unable to determine a value for this service.

Note 8 - Commitments

The School entered into a lease agreement for space in Bronx, New York whereby the landlord intended to develop a 6 story building subject to the approval of the School and the New York City Department of Building. The lease was originally expected to commence on August 1, 2014 and expire on July 31, 2029. The School and landlord could not agree on the final terms of the building and, subsequent to year-end, the School began negotiating the termination of the lease agreement with the landlord. The School expects the landlord to return its security deposit of \$160,000 when these negotiations are completed.

Note 9 – Defined Contribution Plan

The School maintains a tax deferred 403(b) retirement plan. Employees who are at least 21 years old may participate by designating a percentage of their salaries, subject to regulatory limits, to be contributed to the plan on a pre-tax basis. The School matches employee contributions to the plan on a discretionary basis. The amount of estimated matching payments of \$8,000 for the year ended June 30, 2015 and \$28,000 for the year ended June 30, 2014 has been included in payroll taxes and employee benefits in the accompanying financial statements.



**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Trustees of
Mott Hall Charter School

Report on the Financial Statements

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities, functional expenses, and cash flows for the year then ended and the related notes to the financial statements, and have issued our report thereon dated October 15, 2015.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Schall & Ashenfarb
Certified Public Accountants, LLC

October 15, 2015

**MOTT HALL CHARTER SCHOOL
SCHEDULE OF FINDINGS AND RESPONSES
JUNE 30, 2015**

Current Year:

None

Prior Year:

2014-01 - This matter was resolved.

New York State Education Department

Request for Proposals to Establish Charter Schools Authorized by the Board of Regents

2015-16 Budget & Cash Flow Template

General Instructions and Notes for New Application Budgets and Cash Flows Templates

1	Complete ALL SIX columns in BLUE
2	Enter information into the GRAY cells
3	Cells containing RED triangles in the upper right corner in columns B through G contain guidance on that particular item
4	Funding by School District information for all NYS School district is located on the State Aid website at https://stateaid.nysed.gov/charter/ . Refer to this website for per-pupil tuition funding for all school districts. Rows may be inserted in the worksheet to accomodate additional districts if necessary.
5	The Assumptions column should be completed for all revenue and expense items unless the item is self-explanatory. Where applicable, please reference the page number or section in the application narrative that indicates the assumption being made. For instance, student enrollment would reference the applicable page number in Section I, C of the application narrative.

Mott Hall Charter School

PROJECTED BUDGET FOR 2015-2016

Assumptions

July 1, 2015 to June 30, 2016

DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 155. This will populate the data in row 10.

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Total Revenue	3,356,244	630,419	-	-	50	3,986,713
Total Expenses	2,316,586	816,078	-	5,500	702,793	3,840,957
Net Income	1,039,658	(185,659)	-	(5,500)	(702,743)	145,756
Actual Student Enrollment	191	34				-
Total Paid Student Enrollment	191	34				225

PROGRAM SERVICES

SUPPORT SERVICES

REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
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REVENUE

REVENUES FROM STATE SOURCES

	CY Per Pupil Rate	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Per Pupil Revenue							
New York City	\$13,877.00	3,120,202	-	-	-	-	3,120,202
School District 2 (Enter Name)		-	-	-	-	-	-
School District 3 (Enter Name)		-	-	-	-	-	-
School District 4 (Enter Name)		-	-	-	-	-	-
School District 5 (Enter Name)		-	-	-	-	-	-
		3,120,202	-	-	-	-	3,120,202
Special Education Revenue		-	558,375	-	-	-	558,375
Grants							
Stimulus		-	-	-	-	-	-
Other		-	-	-	-	-	-
Other State Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM STATE SOURCES		3,120,202	558,375	-	-	-	3,678,577

REVENUE FROM FEDERAL FUNDING

IDEA Special Needs		-	29,920	-	-	-	29,920
Title I		139,458	24,888	-	-	-	164,346
Title Funding - Other		16,409	2,928	-	-	-	19,337
School Food Service (Free Lunch)		-	-	-	-	-	-
Grants							
Charter School Program (CSP) Planning & Implementation		-	-	-	-	-	-
Other		-	-	-	-	-	-
Other Federal Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM FEDERAL SOURCES		155,867	57,736	-	-	-	213,603

LOCAL and OTHER REVENUE

Contributions and Donations, Fundraising		63,642	11,358	-	-	-	75,000
Erate Reimbursement		-	-	-	-	-	-
Interest Income, Earnings on Investments,		-	-	-	-	50	50
NYC-DYCD (Department of Youth and Community Developmt.)		-	-	-	-	-	-
Food Service (Income from meals)		-	-	-	-	-	-
Text Book		16,533	2,950	-	-	-	19,483
Other Local Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES		80,175	14,308	-	-	50	94,533

TOTAL REVENUE

	3,356,244	630,419	-	-	50	3,986,713
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List exact titles and staff FTE's (Full time equivalent)

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

No. of Positions

Executive Management	1.00	-	-	-	-	156,310	156,310
Instructional Management	1.00	90,436	16,139	-	-	-	106,575
Deans, Directors & Coordinators	6.00	240,419	42,906	-	-	97,500	380,825
CFO / Director of Finance	-	-	-	-	-	-	-
Operation / Business Manager	1.00	-	-	-	-	47,705	47,705
Administrative Staff	2.00	-	-	-	-	72,525	72,525
TOTAL ADMINISTRATIVE STAFF	11	330,855	59,045	-	-	374,040	763,940

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	12.00	711,571	126,988	-	-	-	838,559
Teachers - SPED	4.00	-	295,400	-	-	-	295,400
Substitute Teachers	-	38,185	6,815	-	-	-	45,000
Teaching Assistants	-	-	-	-	-	-	-

Mott Hall Charter School

PROJECTED BUDGET FOR 2015-2016

Assumptions

July 1, 2015 to June 30, 2016

DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 155. This will populate the data in row 10.

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Total Revenue	3,356,244	630,419	-	-	50	3,986,713
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Net Income	1,039,658	(185,659)	-	(5,500)	(702,743)	145,756
Actual Student Enrollment	191	34				-
Total Paid Student Enrollment	191	34				225

PROGRAM SERVICES

SUPPORT SERVICES

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Specialty Teachers	7.00	361,040	64,432	-	-	425,472
Aides	-	-	-	-	-	-
Therapists & Counselors	1.00	73,210	13,065	-	-	86,275
Other	-	85,705	15,295	-	-	101,000
TOTAL INSTRUCTIONAL	24	1,269,711	521,995	-	-	1,791,706
NON-INSTRUCTIONAL PERSONNEL COSTS						
Nurse	-	-	-	-	-	-
Librarian	-	-	-	-	-	-
Custodian	-	-	-	-	-	-
Security	1.00	-	-	-	40,000	40,000
Other	-	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	1	-	-	-	40,000	40,000
SUBTOTAL PERSONNEL SERVICE COSTS	36	1,600,566	581,040	-	414,040	2,595,646
PAYROLL TAXES AND BENEFITS						
Payroll Taxes	-	166,394	61,155	-	44,095	271,644
Fringe / Employee Benefits	-	8,882	3,224	-	2,298	14,404
Retirement / Pension	-	125,883	45,698	-	32,564	204,145
TOTAL PAYROLL TAXES AND BENEFITS		301,158	110,078	-	78,957	490,193
TOTAL PERSONNEL SERVICE COSTS		1,901,724	691,118	-	492,997	3,085,839
CONTRACTED SERVICES						
Accounting / Audit	-	-	-	-	117,360	117,360
Legal	-	-	-	-	15,600	15,600
Management Company Fee	-	-	-	-	-	-
Nurse Services	-	-	-	-	-	-
Food Service / School Lunch	-	-	-	-	-	-
Payroll Services	-	5,365	1,950	-	1,391	8,706
Special Ed Services	-	-	-	-	-	-
Titlement Services (i.e. Title I)	-	4,942	882	-	-	5,824
Other Purchased / Professional / Consulting	-	34,849	11,276	-	9,000	55,125
TOTAL CONTRACTED SERVICES		45,156	14,108	-	143,351	202,615
SCHOOL OPERATIONS						
Board Expenses	-	-	-	-	-	-
Classroom / Teaching Supplies & Materials	-	40,683	7,260	-	-	47,943
Special Ed Supplies & Materials	-	-	7,540	-	-	7,540
Textbooks / Workbooks	-	35,300	6,300	-	-	41,600
Supplies & Materials other	-	-	-	-	-	-
Equipment / Furniture	-	1,586	546	-	468	2,600
Telephone	-	10,343	3,561	-	3,052	16,956
Technology	-	26,533	9,134	-	7,829	43,496
Student Testing & Assessment	-	38,610	6,890	-	-	45,500
Field Trips	-	12,728	2,272	-	-	15,000
Transportation (student)	-	-	-	-	-	-
Student Services - other	-	8,384	1,496	-	-	9,880
Office Expense	-	23,303	8,022	-	6,876	38,202
Staff Development	-	50,325	17,325	-	14,850	82,500
Staff Recruitment	-	6,344	2,184	-	1,872	10,400
Student Recruitment / Marketing	-	2,206	394	-	-	2,600
School Meals / Lunch	-	6,619	1,181	-	-	7,800
Travel (Staff)	-	10,035	3,455	-	2,961	16,450
Fundraising	-	-	-	5,500	-	5,500

Mott Hall Charter School

PROJECTED BUDGET FOR 2015-2016

PROJECTED BUDGET FOR 2015-2016							Assumptions
July 1, 2015 to June 30, 2016							DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable
Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 155. This will populate the data in row 10.							
	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL	
Total Revenue	3,356,244	630,419	-	-	50	3,986,713	
Total Expenses	2,316,586	816,078	-	5,500	702,793	3,840,957	
Net Income	1,039,658	(185,659)	-	(5,500)	(702,743)	145,756	
Actual Student Enrollment	191	34				-	
Total Paid Student Enrollment	191	34				225	
	PROGRAM SERVICES			SUPPORT SERVICES			
	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL	
Other	9,260	3,188	-	-	2,733	15,181	
TOTAL SCHOOL OPERATIONS	282,259	80,748	-	5,500	40,641	409,148	
FACILITY OPERATION & MAINTENANCE							
Insurance	10,841	3,732	-	-	3,199	17,772	
Janitorial	-	-	-	-	-	-	
Building and Land Rent / Lease	-	-	-	-	-	-	
Repairs & Maintenance	4,758	1,638	-	-	1,404	7,800	
Equipment / Furniture	-	-	-	-	-	-	
Security	-	-	-	-	-	-	
Utilities	-	-	-	-	-	-	
TOTAL FACILITY OPERATION & MAINTENANCE	15,599	5,370	-	-	4,603	25,572	
DEPRECIATION & AMORTIZATION	71,848	24,734	-	-	21,201	117,783	
DISSOLUTION ESCROW & RESERVES / CONTINGENCY	-	-	-	-	-	-	
TOTAL EXPENSES	2,316,586	816,078	-	5,500	702,793	3,840,957	
NET INCOME	1,039,658	(185,659)	-	(5,500)	(702,743)	145,756	
ENROLLMENT - *School Districts Are Linked To Above Entries*	REGULAR EDUCATION	SPECIAL EDUCATION	TOTAL ENROLLED				
New York City	191	34	225				
School District 2 (Enter Name)			-				
School District 3 (Enter Name)			-				
School District 4 (Enter Name)			-				
School District 5 (Enter Name)			-				
TOTAL ENROLLMENT	191	34	225				
REVENUE PER PUPIL	17,591	18,515	-				
EXPENSES PER PUPIL	12,142	23,967	-				



Audited Financial Statement Checklist

Last updated: 10/28/2015

Page 1

Charter School Name:

1. Please check each item that is included in the 2014-15 Audited Financial Statement submitted for your charter school.

	Yes/No
Audited Financial Statements (including report on compliance and report on internal control over financial reporting)	Yes
Single Audit (if applicable)	Yes
CSP Agreed Upon Procedures (if applicable)	Yes
Management Letter	Yes
Report on Extracurricular Student Activity Accounts (if applicable)	Yes
Corrective Action Plans for any Findings	Yes

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2014-15 Audited Financial Statement.

	Yes/No
Report on Compliance	No
Report on Internal Control over Financial Reporting	No
Single Audit	No
CSP Agreed Upon Procedures Report	No
Management Letter	No

Thank you.



Appendix E: Disclosure of Financial Interest Form

Created: 07/22/2015

Last updated: 10/28/2015

Page 1

All trustees who served on an education corporation governing one or more charter schools during the 2014-2015 school year must complete the form in Appendix E (Disclosure of Financial Interest Form). **The Disclosure of Financial Interest Forms are due on November 1, 2015. A link to a safe and secure form that each Trustee must complete by the November 1, 2015 deadline will be provide here by September 1, 2015 or sooner.**

ALL charter schools or merged education corporations must complete the Board of Trustees Membership Table within the online portal in Appendix F (Board of Trustees Membership Table). The Board of Trustees Membership Table must be submitted by August 1, 2015.

Regents-authorized charter schools must upload a complete set of board of trustee Meeting Minutes from July 2014-June 2015 into Appendix G (Board Minutes). Board of Trustee Meeting Minutes must be submitted by August 1, 2015.

Yes, each member of the school's Board of Trustees will receive a link to the Disclosure of Financial Interest Form.

Yes

Thank you.



Appendix F: BOT Membership Table

Last updated: 07/21/2015

Page 1

1. Current Board Member Information

	Trustee Name	Email Address	Committee Affiliation(s)	Voting Member? (Y/N)	Area of Expertise, and/or Additional Role and School (parent, staff member, etc.)	Number of Terms Served and Length of Each (Include election date and term expiration)
1	Sarah Calderon	[REDACTED]	Chair/Board President	Yes	Executive Director of Casita Maria Center for Arts and Education	
2	Jesse Margolis	[REDACTED]	Treasurer	Yes	educational consultant specializing in data, accountability and evaluation.	
3	Charles Stern	[REDACTED]	Trustee/Member	Yes	Mr. Stern served on the Board of Education in Mount Vernon, New York	
4	Kamoy Smalling	[REDACTED]	Trustee/Member	Yes	worked within Deutsche Bank's Corporate Investment Bank and Private Wealth Management Division.	
5	Emily Chepiga	[REDACTED]	Trustee/Member	Yes	is a labor and employment law attorney	
6	Francesca Weindling	[REDACTED]	Trustee/Member	Yes	educational, recreational, and cultural programming for school-aged children.	
7	Natalie Thompson	[REDACTED]	Secretary	Yes	Public Administration, Advanced Management and Finance	
8						
9						
10						

11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

2. Total Number of Members Joining Board during the 2014-15 school year

2

3. Total Number of Members Departing the Board during the 2014-15 school year

3

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

11

5. How many times did the Board meet during the 2014-15 school year?

11

6. How many times will the Board meet during the 2015-16 school year?

11

Thank you.



MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL
September 9, 2014

The annual meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on September 9, 2014 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Matthew Friedman, Jesse Margolis, Peter Oroszlany Charles Stern, Natalie Thompson, and Francesca Weindling**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS**

Patrick Awosogba, Brenda Bravo, Sarah Calderon, were absent from the meeting.

No parents and/or guests attended this meeting.

Natalie Thompson presided at this meeting and recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Thompson reviewed the agenda.

Board Motions and Approvals

1. Board reviewed prior approved Meeting Minutes which were not signed. Charles Stern made a motion to sign the prior approved June 17, 2014 and July 1, 2014 meeting minutes. Motion was seconded by Jesse Margolis. The prior approved minutes were signed by Natalie Thompson and Charles Stern.



**MOTT HALL
CHARTER SCHOOL**
EST. 1993

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

September 9, 2014

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Staffing

- Staff orientation was held over 2 weeks in August and it was a huge success. Board Members, Sarah Calderon, Natalie Thompson and Jesse Margolis attended session with the staff.
- Nzinga Antione, Grade 8 Special Education Teacher, resigned on September 1, 2014. We have already interviewed potential candidates to backfill the position.

Accountability

- Jesse Margolis will continue to work with MHCS on modifications to Accountability system

Operations, HR and Finance

New site updates

- The 5th floor has been branded to mirror the mini building site. We are still waiting for the custodial staff to scrape and paint the trims and ceiling so that we can finish branding the site.
- New desks (Nodes) have been purchased and installed for all classes in both buildings.
- New Science facility lab has been installed
- Fans have been purchased to cool the rooms on the 5th floor until AC units can be installed.
- We are purchasing a shed to provide needed additional storage.

Co-location updates

- A shared Google calendar has been created for scheduling auditorium usage with PS 63.
- A shared Google calendar will be created and used to scheduling library usage.
- Wireless drops were installed on the 5th floor, the auditorium, and the library.
- Girls and boys bathrooms have been updated with new stalls.
- A new electrical panel will be installed to provide more outlets to the offices.
- An electrician will be in to provide a quote to have outlets for air conditioning units on the 5th floor.



MOTT HALL
CHARTER SCHOOL
WALK TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

September 9, 2014

- The three offices in the mini building have been redesigned to present a more professional and academic feel.

School Stats

- Scholar enrollment: Currently there are 190 students in attendance.
- Scholar attendance rate: The daily average attendance rate is 80.63%
- % of scholars with IEPs: School wide – 21%
- % of scholars with ELL designation: School wide – 7% (we are still evaluating grade 6)
- Other important info for that month: Registration is down by 37 students. 20 students have gone to other charter school, primarily the Dr. Richard Izquierdo Health and Science Charter School (84X482); 20 students have left the school in grades 7-8; 17 students registered for grade 6 have declined and gone to other schools

Development

- No new updates

Siting and Elected Official Engagement

- No new updates

Board Recruitment

- We are continuing to recruit new potential Board members.

Committee/ Taskforce and other Updates

Academic Taskforce – Board member Jesse Margolis provided a fulsome report on the State Test Score and the work we have ahead to move the needle on test scores for MHCS. Additionally he is reviewing our current metric with an eye toward streamlining the current data points to achieve greater efficiency and accuracy in data collection.



MOTT HALL
CHARTER SCHOOL
WE'VE GOT THE BALANCE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

September 9, 2014

Development Taskforce – work continues with the Development Consultant on Development Strategy for 2015

Finance Committee – no updates.

Executive Committee - no updates.

Forward Agenda

1. Committee/Taskforce Updates
2. Board Packet Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

Respectfully submitted,

Natalie Thompson
Secretary

APPROVED:

Sarah Calderon
Chair



MOTT HALL
CHARTER SCHOOL
CHARTER SCHOOL DISTRICT OF DENVER

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

September 9, 2014



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE MOTT HALL CHARTER SCHOOL

OCTOBER 7, 2014

A regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10456 on October 7, 2014 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Jesse Margolis, Patrick Awosogba, Peter Oroszlany, Matthew Friedman, Charles Stern, and Brenda Bravo.**

The following school staff members participated in person: **Bob Lesser, Executive Director; Michael Williams, Principal; Alice McIntosh, SPED Coordinator.**

Sarah Calderon and Natalie Thompson were absent from the meeting.

No parents and/or guests attended this meeting.

Michelle Ching, Executive Assistant, recorded the minutes.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.



MOTT HALL CHARTER SCHOOL RISE TO THE CHALLENGE

Board Motions and Approvals

1. Board reviewed and unanimously approved. September 9, 2014 meeting minutes.

Committee/ Taskforce and other Updates

Academic Taskforce - Board member Jesse Margolis presented the finalized MHCS Accountability Report from the 2013-2014 school year.

Executive Summary Review

Mr. Lesser highlighted the contents of the Executive Summary for the month of September.

Academic Program

1. Mr. Williams has done 73 informal observations, observing each of the sixteen teachers at least four times since the first day of school.
2. Professional Development: Has been conducted every Wednesday afternoon focusing on Danielson first three Domains. Grade Team has met three times this month, discussing ways to improve study hall, creating baseline assessments, and aligning on our norms and procedure.
3. Curriculum Development: On Saturday, October 18, a Curriculum Writing Retreat to assist teachers in their unit writing.

High School Placement

1. MHCS community has attended NYC DOE HS fair at Brooklyn Tech the weekend of September 20th. They have met with the school leadership at Horace Mann, Riverdale Country, Bronx Center for Science and Math, HS of Fashion Industries, Beacon HS, St. Catherine's HS, Fordham Prep and HS of American Studies at Lehman College. Scholars are engaged in the SHSAT Test Prep and TACHS program.

2. Admissions: Currently actively enrolling new students and attracting 6th grade students who reside in 10456 (home) zip code. Lottery will begin on April 2015.

Social and Emotional Health

1. Counseling updates: Counseling services are being held on a weekly basis to meet the needs of the students in MHCS community.

Operations

1. Scholar enrollment: currently there are 190 students in attendance
HR staff number: 18 teaching staff, 3 administrative staff, and 6 management staff.

Board Recruitment

- Emily Chepiga was introduced as potential Board member.
- We are continuing to recruit new potential Board members.

The Board voted unanimously to enter Executive Session at 6:34pm in order to discuss a specific student matter. Executive Session ended at 6:50pm.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 6:59 pm.

Respectfully submitted,

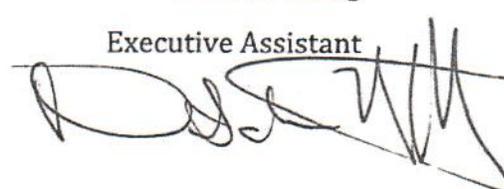
Michelle Ching

Executive Assistant

APPROVED:


Sarah Calderon

Chair





MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE MOTT HALL CHARTER SCHOOL

November 18, 2014

A regular meeting of the Board of Trustees (the "Board" or "BoT") of the Mott Hall Charter School (the "MHCS"), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on November 18, 2014 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Jesse Margolis, Sarah Calderon, Natalie Thompson, Matthew Friedman, and Charles Stern.**

The following school staff members participated in person: **Bob Lesser, Executive Director; and Michael Williams, Principal.**

Patrick Awosogba, Brenda Bravo, Francesca Weindling, and Peter Oroszlany were absent from the meeting.

Kiumarz Guela attended the meeting as guest. No parents attended this meeting.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

Kiumarz Guela addressed the Board regarding the 167th street and 3rd avenue project.

Board Motions and Approvals

1. Board reviewed and unanimously approved October 7, 2014 meeting minutes.

Committee/ Taskforce and other Updates

The Board voted unanimously to enter Executive Session at 5:52pm in order to discuss a specific real estate matter. Executive Session ended at 7:01pm.

Board unanimously voted to allow Mr. Lesser to move forward with the Monsingor Scanlan lease term sheet and to gather additional information.

Forward Agenda

1. Board Nominations
2. Q1 Accountability Report Review

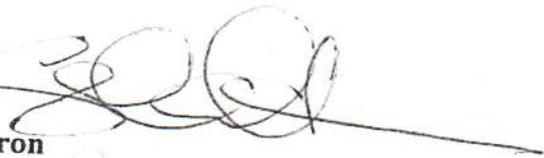
Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:04 pm.

Respectfully submitted,

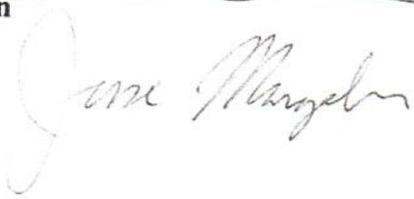
Michelle Ching

Executive Assistant

APPROVED: 

Sarah Calderon

Chair





MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE MOTT HALL CHARTER SCHOOL

December 2, 2014

A regular meeting of the Board of Trustees (the “Board” or “BoT”) of the Mott Hall Charter School (the “MHCS”), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on December 2, 2014 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: Jesse Margolis, Sarah Calderon, Matthew Friedman, Charles Stern, by Skype Brenda Bravo, and by phone Natalie Thompson.

The following school staff members participated in person: Bob Lesser, Executive Director; and Chris Matesic, Dean.

Patrick Awosogba, Peter Oroszlany and Francesca Weindling were absent from the meeting.

Emily Chepiga, Board Nominee attended in person and Kamoy Smalling, Board Nominee by phone.

No parents and/or guests attended this meeting.

Michelle Ching, Executive Assistant, recorded the minutes.



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm. Chris Matesic updated the Board with an update on High School placement.

Board Motions and Approvals

1. Board reviewed and unanimously approved November 18, 2014 meeting minutes.

Committee/ Taskforce and other Updates

Term sheet for Monsingor Scanlan site is moving forward and MHCS attorneys are working on the lease negotiations for that site as a possibility. Members of the BOT requested an enrollment analysis for the new location and a financial analysis for providing bus service to pick up next year's 7th and 8th grade students should the occur who are not able to take public transportation.

Accountability Report

Mr. Lesser presented the accountability report for Quarter 1 which included the following metrics: student attendance, homework completion, teacher observations, classes using the AVID techniques, student uniform compliance, suspensions, percentage of teachers receiving IB training, and percentage of students in an after-school activity.

Board Renewals and Nominations

- A motion was made by Sarah Calderon and the Board unanimously approved Sarah Calderon for a second term as a member of the Board of Trustees.
- A motion was made by Sarah Calderon and the Board unanimously approved Natalie Thompson for a second term as a member of the Board of Trustees.
- A motion was made by Sarah Calderon and the Board unanimously approved Emily Chepiga as a member of the Board of Trustees.

- A motion was made by Sarah Calderon and the Board unanimously approved Kamoy Smalling as member of the Board of Trustees.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 6:51 pm.

Respectfully submitted,

Michelle Ching

Executive Assistant

APPROVED:


Sarah Calderon

Chair





MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE MOTT HALL CHARTER SCHOOL

January 13, 2015

A regular meeting of the Board of Trustees (the "Board" or "BoT") of the Mott Hall Charter School (the "MHCS"), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on January 13, 2015 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Jesse Margolis, Sarah Calderon, Matthew Friedman, Charles Stern, and Francesca Weindling**

The following **pending** Trustee were present: **Kamoy Smalling by Skype and Emily Chepiga.**

The following school staff members participated in person: **Ofier Sigal, Director of Operations; and Chris Matesic, Director of High School Placement.**

Brenda Bravo, Patrick Awosogba, Natalie Thompson and Peter Oroszlany were absent from the meeting.

No parents and/or guests attended this meeting.

Michelle Ching, Executive Assistant, recorded the minutes.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.

Board Motions and Approvals

1. Board reviewed and unanimously approved December 2, 2014 meeting minutes.

Committee/ Taskforce and other Updates

The Board discussed the potential move to Monsignor Scanlan campus and asked to see more detailed financials and transportation plan.

Executive Summary

Mr. Williams is currently doing more formal and informal observations throughout the year. The school has held professional development on IB programs, AVID and attachment theory. There has been more academic data in students testing and is being used to place them in the appropriate test prep group. There are 26 applications for incoming 6th graders for next year.

Average daily attendance is 94% in December. Staff daily attendance is 88% and administration is at 97%. Spanish teacher has been on an extended leave, 6th grade science teacher is leaving at the end of January, and still looking to hire an 8th grade Special Education teacher.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:04 pm.

Respectfully submitted,

Michelle Ching

Executive Assistant

APPROVED:

Sarah Calderon

Chair





MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE MOTT HALL CHARTER SCHOOL

February 10, 2015

A regular meeting of the Board of Trustees (the "Board" or "BoT") of the Mott Hall Charter School (the "MHCS"), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on February 10, 2015 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Emily Chepiga and Charles Stern. Kamoy Smalling, Francesca Weindling, and Sarah Calderon** by Skype.

The following school staff members participated in person: **Bob Lesser; Executive Director**

Jesse Margolis and Natalie Thompson were absent from the meeting.

No parents and/or guests attended this meeting.

Michelle Ching, Executive Assistant, recorded the minutes.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.

Board Motions and Approvals

- 1: Board reviewed and unanimously approved January 13, 2015 meeting minutes.

Committee/ Taskforce and other Updates

A Board self-assessment was conducted.

Executive Summary

- 1- Mr. Williams has completed the second formal observations to all teachers.
- 2- Scholars are receiving their high school acceptance letters. DOE and private schools acceptance letter will be in March.
- 3- Dr. Oppenheimer started her family support meeting that will be held once a month in the evenings.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:01 pm.

Respectfully submitted,

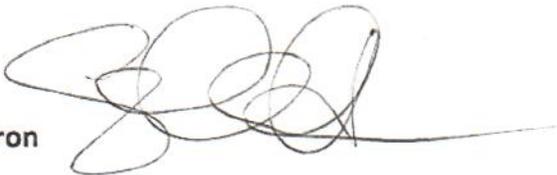
Michelle Ching

Executive Assistant

APPROVED:

Sarah Calderon

Chair





MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

**MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL
March 17, 2015**

A regular meeting of the Board of Trustees (the “Board” or “BoT”) of the Mott Hall Charter School (the “MHCS”), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on March 17, 2015 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Sarah Calderon, Emily Chepiga and Natalie Thompson in person. Kamoy Smalling and Jesse Margolis by Skype.**

The following school staff members participated in person: **Bob Lesser; Executive Director**

Charles Stern and Francesca Weindling were absent from the meeting.

No parents and/or guests attended this meeting.

Michelle Ching, Executive Assistant, recorded the minutes.



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.

Board Motions and Approvals

1. Board reviewed and unanimously approved February 10, 2015 meeting minutes.

Committee/ Taskforce and other Updates

The Board unanimously approved Form 990.

The Board unanimously approved the slate of officers and committee members.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment

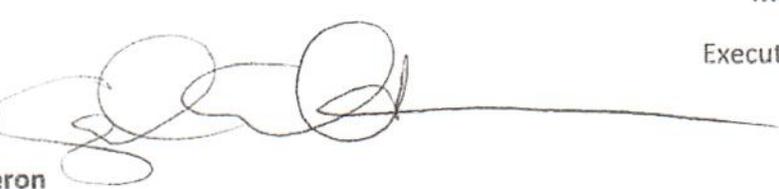
Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:01 pm.

Respectfully submitted,

Michelle Ching

Executive Assistant

APPROVED: 

Sarah Calderon

Chair



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

**MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL**

April 14, 2015

A regular meeting of the Board of Trustees (the "Board" or "BoT") of the Mott Hall Charter School (the "MHCS"), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on April 14, 2015 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Sarah Calderon, Emily Chepiga, Charles Stern, and Jesse Margolis in person.**

The following school staff members participated in person: **Bob Lesser; Executive Director**

Natalie Thompson, Kamoy Smalling and Francesca Weindling were absent from the meeting.

Mar Nelson attended as guest and Rona Bender as the parent of one of our scholars.

Michelle Ching, Executive Assistant, recorded the minutes.



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.

The Board voted unanimously to enter Executive Session at 5:31 pm in order to discuss a specific student matter. Executive session ended at 5:45 pm.

Board Motions and Approvals

1. Board reviewed and unanimously approved March 17, 2015 meeting minutes.
2. *The Mott Hall Charter School Board of Trustees, having conducted a thorough background check via a fingerprint scan as required and having discovered no State or federal criminal history, or having provided such history to SED if found, has voted to select Emily Chepiga as a final candidate to its Board of Trustees, with a term expiring on April 14, 2018, pending approval by SED. The resolution approving Emily Chepiga is formally adopted upon SED's approval.*

Executive Summary

- 1- The Board reviewed the Family Concern Procedure and school Discipline Procedure.
- 2- The school has hired a new Director of Family Engagement that will start on May 4th that will help build a stronger relationship with family and the school.

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:00 pm.

Respectfully submitted,

Michelle Ching

Executive Assistant

APPROVED:

A handwritten signature in black ink, consisting of several overlapping loops and a long horizontal stroke extending to the right.

Sarah Calderon

Chair



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

**MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL**

May 12, 2015

A regular meeting of the Board of Trustees (the "Board" or "BoT") of the Mott Hall Charter School (the "MHCS"), Annex of 1260 Franklin Avenue, Bronx, NY 10456 on May 12, 2015 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: Sarah Calderon, Natalie Thompson, Jesse Margolis in person and Emily Chepiga by Skype.

The following school staff members participated in person: Bob Lesser; Executive Director

Charles Stern, Kamoy Smalling and Francesca Weindling were absent from the meeting.

Michelle Ching, Executive Assistant, recorded the minutes.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order at 5:30pm.

Board Motions and Approvals

1. The Mott Hall Charter School Board of Trustees reviewed and unanimously approved April 14, 2015 meeting minutes.
2. The Mott Hall Charter School Board of Trustees, having conducted a thorough background check via a fingerprint scan as required and having discovered no State or federal criminal history, or having provided such history to SED if found, has unanimously voted to select Kamoy Smalling as a final candidate to its Board of Trustees, with a term expiring on May 12, 2018, pending approval by SED. The resolution approving Kamoy Smalling is formally adopted upon SED's approval.

3. The Mott Hall Charter School Board of Trustees unanimously approved the following revised mission statement: "The mission of the Mott Hall Charter School is to prepare our scholars in mind, body, and character to succeed in top high schools, colleges, and careers by becoming inquisitive, open-minded, and compassionate citizens of the world."

Forward Agenda

1. Committee/ Taskforce Updates
2. Executive Summary Review
3. Board Recruitment

Adjournment

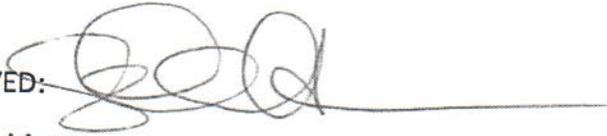
There being no further business to come before the Board, the meeting was adjourned at 7:00 pm.

Respectfully submitted,

Michelle Ching

Executive Assistant

APPROVED:



Sarah Calderon

Chair

Each year MHCS will accept 110 (projections account for a ten percent attrition rate based on our experience in Community School Districts 8 and 9) rising 6th graders eligible to enroll in New York City schools with admissions preference given to students residing in Community School Districts 8 or 9 depending on which community school district the school is located. Further, the school will reserve seats for students designated as Special Education or English Language Learners in proportion equal to the community school district average for students with these designations and we will aggressively recruit to ensure we meet this target. The application form will ask for student information, and will be used to determine if the student does reside in Community School District 8 or 9 and if the student is eligible to receive Special Education or ELL services. MHCS will not discriminate on the basis of academic achievement. MHCS will recruit students by placing ads in local newspapers, distributing and mailing flyers to community residents and community based organizations and distributing information at community board meetings. All written materials will be translated into the primary native languages of the school's English Language Learner demographic, which in this case is Spanish. In addition, MHCS will run several open houses in community based facilities to allow students and families to meet the school faculty and staff. We will also conduct tours of existing Mott Hall middle schools in District 8 and 9 to show parents and students the type of school environment and education we will be providing. Information regarding the application procedures and timeline will be distributed through all of these venues. The timeline is as follows: By September 30, the application is made available to parents both online and in person at specified location and times. For start-up year admissions, the timeline will be compressed to account for a December 2011 authorization. The application deadline will be March 31. If the number of applicants exceeds the number of seats available, we will hold a lottery during a community board meeting.

Families will be notified by April 8 of their admissions status (admitted or wait listed). Families will need to return enrollment forms by April 22. If parents do not choose to enroll their student in MHCS, we will consult the waiting list and offer students admission in the order they appear on the waiting list. MHCS will reserve a percentage of seats for students who transfer under the No Child Left Behind program.

The parent or guardian of a student may withdraw a student at any time. Should the parent request a withdrawal, the Principal will meet with the family to try to resolve the situation. If the parent still wants to withdraw the student, the Office Manager will assist the parent with a referral to the appropriate New York City Department of Education office which can assist in student placement.

Returning students will be automatically assigned a seat in the school (unless the student's parent or guardian withdraws the student voluntarily). Any sibling(s) of a student already enrolled at MHCS who applies to MHCS will be automatically assigned a seat in the school and will not need to enter into the admissions lottery.

Given the strong positive response we've received from our community partners (listed in the next section) we will continue to work with these local elementary schools, community based organizations, elected officials, and other community leaders to get the word out about Mott Hall Charter School, admissions requirements and timelines to families of prospective students, particularly those typically less informed about educational choice options.

See appendix for signatures of interest from parents in districts 8 and 9 who will have rising 6th grade aged children in fall 2011 when, if approved, the school will open.

F. COMMUNITY INVOLVEMENT

Strong community support is a key building block in the establishment of a new school and an invaluable way to attract new students as well as to leverage additional services and supports which help retain students. This past spring, the Mott Hall Charter School planning team canvassed the community and found a plethora of enthusiastic support for this charter school – from principals and parent coordinators at local elementary schools to community based organizations and local political leaders as well as current schools with which we work. We have not only shared information, but we have elicited feedback and participation in the creation of the school through informal discussion and more formal community feedback Surveys. We've found that the community believes there is a real need for the new high-quality middle school option which Mott Hall Charter offers – stakeholders being most attracted to Mott Hall's strong reputation, its focus on academic rigor, integrated technology, arts enrichment, and a range of after-school programs and supports available for students. Community outreach and support thus far includes the following:

- Bronx Community Board 2
- Bronx Community Board 4
- The Bronx Borough President's Office
- State Assembly Member Marcos Crespo
- State Senator Jeff Klein
- Councilmember Helen Foster
- Councilmember James Vacca
- **Elementary School Principals, Parent Coordinators, and Parent Associations:** Due to the fact that Replications is well known in the public education community and that Mott Hall Charter School proposes to start in 6th grade rather than 5th grade we have been welcomed by local elementary schools eager for a high-quality middle school option for their graduating students. Many parents expressed interest and support and wanted more involvement once the school was approved. We will continue to build relationships with elementary schools across the district.
- **Claremont Neighborhood Centers:** Located in the Claremont/Morrisania section of the South Bronx, Claremont Neighborhood Centers, Inc. has pledged its support for the Mott Hall Charter School. Focusing on neighborhood needs, Claremont's services include: child care and after-school programs with activities such as karate, drama and photography workshops, dance and computer instruction and a range of athletic activities. In addition, Claremont offers a Nite Light program for teens with extended recreational hours until 10 pm, a job assistance program, summer day camp and participation in The New York Fresh Air Fund. We will work with Claremont to ensure that families they serve know about and apply to Mott Hall Charter School.
- **Casita Maria:** Established in 1934, Casita Maria was the first charitable organization to serve Hispanics in New York City. Today Casita Maria provides after school education in the arts, literacy and job readiness while addressing critical needs for youth, families and seniors in the Bronx. Casita Maria Executive Director Sarah Calderon is a founding Board member and has expressed support of the Mott Hall Charter School and its shared mission of providing students with the education and skills they will need to compete effectively in the 21st century. Thanks to their long standing roots in the Bronx Casita Maria will be an invaluable help in recruiting students.
- **Police Athletic League (PAL):** PAL is New York City's largest independent youth development not-for-profit organization that operates head start/day care, after-school, evening teen, summer day camp, youth employment, truancy prevention, juvenile justice and re-entry, city-wide sports, play streets and part-time centers, food service, and adventure learning programs for pre-school kids, children and adolescents ages 3 to 19. In its 95th year

of service, PAL is continuing its evolution and remaining a vibrant and vital NYC institution. We will advertise Mott Hall Charter School at the PAL Center and at PAL events.

- **Frederick Douglass Academy III:** Frederick Douglass Academy III started and supported by Replications, Inc. expressed support of Replications in the charter school effort by providing space during parent-teacher conferences to talk to parents about the need for an additional high-quality middle school choice.
- **Mott Hall Community School:** Mott Hall Community School is a school in CSD 8 started and supported by Replications. The school has pledged its support of Replications in the charter school application effort. A letter of support is included in Exhibit G of this application.
- **Mott Hall Science & Technology Academy:** Mott Hall Science & Technology Academy is a CSDt 9 school started and supported by Replications. The school has pledged its support of Replications in the charter school application effort. A letter of support is included in Exhibit G of this application.
- **Parent Associations:** Members of the planning team were invited to Parent Associations meetings at PS 69, PS 75 and PS 146 to introduce the Mott Hall Charter School concept. Many parents expressed interest and support and wanted more involvement on the parent advisory council.

The MHCS Board will also reserve seats for a student, parent and teacher representative once the school opens to ensure these important voices are represented in the governance of the school. In addition, current parents of students in Mott Hall schools, as well as prospective parents of Mott Hall Charter School will come together as part of the parent advisory council in support of Mott Hall Charter School. The purpose of the council is to provide input and ideas about the school; as well as discuss ways to support the application and the school once it is approved.

Strategies for Recruiting ELL Students (Taken from the New York City Charter Schools ELL Consortium)

The new charter law for New York, passed in May 2010 has several implications for charter schools and ELL students. Under the new law:

- Charter schools must continue to show a good faith effort to attract and retain a comparable or greater enrollment of ELL students when compared with the enrollment numbers of ELL students in other schools in the same district;
- Charter schools can now be terminated for repeated failure to comply with the requirement to meet or exceed these ELL enrollment and retention targets (unless this would be the only reason for termination and the charter school can show that it made extensive efforts to recruit and retain such students - such as outreach to families and parents in the community, widely publicizing the lottery, and efforts to academically support ELL students);

- In their annual reports to the Board of Regents, charter schools must now include their efforts in the current school year, and their plan for the next school year, to meet and exceed ELL enrollment and retention targets;
- Charter school application forms must be provided in the languages predominantly spoken in a charter school's local community; and
- Applications for new charter schools must include the charter school's plan to meet or exceed ELL enrollment and retention targets.

As charter schools now need to plan for, and document, their efforts to recruit a number of ELL students that is comparable to district schools within the same district, strategies for recruiting ELL students include:

- Taking time to learn about the cultures and needs of ELL families in your school's community;
 - Mott Hall Charter School has met with parents in groups and one on one via workshops, open houses sessions, parent teacher conferences, and other unscheduled parent visits. At this time parents have share their concerns and challenges. Parents have been given contact information for every staff member in the school should they have any questions or concerns. Information is supplied in English and Spanish on all correspondences that go home.
- Creating school recruitment/lottery materials in English and in the school community's predominant languages.
 - Mott Hall Charter School is located in district 9. According to district data the community is 88.3% Spanish-speaking amongst all students and 89% of the ELL populations in this district speak Spanish.
- Resources for translation services can be accessed at: DOE Translation & Interpretation Unit
 - 40% of our staff is multi-lingual with Spanish as their second language. Our Administrative Assistant is multi-lingual speaking Spanish and French. All correspondences sent home are in English and Spanish. Workshops, conferences, and meetings are held with staff on site to interpret as needed.
- Understanding that written materials are not always enough - place phone calls to ELL families;
 - Mott Hall Charter School uses School Reach to communicate with parents. Messages are properly translated into Spanish and verbally (not automated as this tend to be hard to understand even in English) sent out. Upon receipt of the call parents have to option to press 1 for English and 2 for Spanish to listen to the school communication.
- Utilizing ELL family members who are already in your school community - have them be spokespeople for your school;
 - We have done this for this current recruiting year. We have a few parents who we have supplied with recruitment materials to distribute around the community and share with family, co-workers, and friends.

- Having a bilingual staff member or volunteer who can help answer families' questions and fill out paperwork;
 - For any event the school host, staff who speak Spanish are on site to translate and assist parents as needed. Materials are also provided in English and Spanish.
 - Finding community interpretation services for lower-incident languages; and
 - We do not need outside translators as we can provide this service with our current staff.
 - Hosting open-house meetings and providing translated materials and presenters who can translate for families.
 - For any event the school host, staff who speak Spanish are on site to translate and assist parents as needed. Materials are also provided in English and Spanish.
-

SCHOOL MISSION AND PHILOSOPHY

The mission of the Mort Hall Charter School is to prepare our scholars in mind, body, and character to succeed in top high schools, colleges, and careers, and to contribute to our global society. We accomplish this through a longer instructional day and year, an inquiry-based liberal arts curriculum, providing cutting edge social and emotional supports, technology infusion, and college bound enrichment.

ACADEMIC PROGRAM

Mort Hall Charter School's curriculum is guided by the International Baccalaureate (IB) Middle Years Programme (MYP). The IB MYP provides our students with the highest caliber high school and college preparatory coursework available.

Key features of our academic program include:

- An extended day and extended year program allowing time for extra help and enrichment
- Engaging and rigorous classes in all subject areas with intensive instruction in English and Math
- Foreign language study for all scholars
- Physical Education and Health class for all scholars
- Visual and Performing Arts for all scholars
- The opportunity for our scholars to take the Integrated Algebra and a Science Regents in 8th grade
- Supports for scholars with Individualized Education Programs and English Language Learners



SOCIAL AND EMOTIONAL SUPPORTS

Our social and emotional health program helps scholars manage stressors they face at school and home, learn self-regulation strategies, and develop the emotional intelligence required to successfully navigate this time in their lives. Our supports include:

- Social and emotional health assessments
- Training for all staff in trauma and attachment theory and strength-based interventions
- Individual and Group Counseling
- Body-mind techniques including breathing, guided imagery, visualization, meditation, and yoga
- Parent support groups

CHARACTER AND LEADERSHIP DEVELOPMENT

Character and leadership development are hallmarks of the Mort Hall experience. We provide a safe and nurturing environment where our students can grow socially and emotionally and thrive academically. All community members adhere to our core values of Responsibility, Integrity, Scholarship, and Excellence.

Mort Hall's approach to character and leadership development includes:

- A clear, caring, and consistent school culture focusing on safety, student learning, and social and emotional growth
- Instruction in study skills, organization, and time management
- Our RISE Character Development Program
- Extra-curricular activities, clubs, field trips, and service-learning

HIGH SCHOOL PLACEMENT AND COLLEGE READINESS PROGRAM

At Mort Hall Charter our goal is to get 100% of our graduating 8th grade students into a high performing college preparatory high school. College readiness begins in the 6th grade as scholars gain the mindset and skills necessary to succeed in a college preparatory high school and ultimately in college. Mort Hall offers a comprehensive High School Placement and College Readiness Program which includes:

- Personalized high school vision plan for every scholar
- High School placement and application assistance
- Top performing high school and college visits
- Test Preparation
- Partnerships with high school placement programs such as Prep for Prep and the Boys Club of New York

AFTER SCHOOL, SATURDAY ACADEMY, AND SUMMER PROGRAMS

Mort Hall offers a comprehensive after school program throughout the school year and holds Saturday Academy and extra instruction during the summer. The after school, Saturday, and summer programming provides additional academic support, tutoring, and test preparation as well as enrichment including creative writing, dance, theater, visual arts, music, athletics (basketball, flag football, soccer). In addition, we place scholars in college and career readiness programs during the summer months.

LOCATION AND FACILITY

We are located at 1260 Franklin Avenue in the Morrisania neighborhood of the South Bronx. We are accessible by the B or D trains (167th Street stop), the 2 or 5 trains (Freeman Avenue stop), and the Bx 35 Bus.

Our facility includes a library, cafeteria, outdoor yard, and indoor mini-gym. We are located across the street from Bronx Lebanon Hospital and are a short walk from beautiful Crotona Park.

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CONTACT US

Phone: 718-991-9139

Email: information@mottallcs.org



www.MottHallCharterSchool.org

ADMISSIONS

We are seeking scholars interested in rising to the challenge of gaining entry into a top high school and ultimately going to college. These are young people who are willing to develop the core values of responsibility, integrity, scholarship, and excellence and demonstrate these values by **wearing their uniform proudly, completing their homework every night, seeking out help from teachers and other adults when they need it, and developing college bound skills and interests through our enrichment program.**

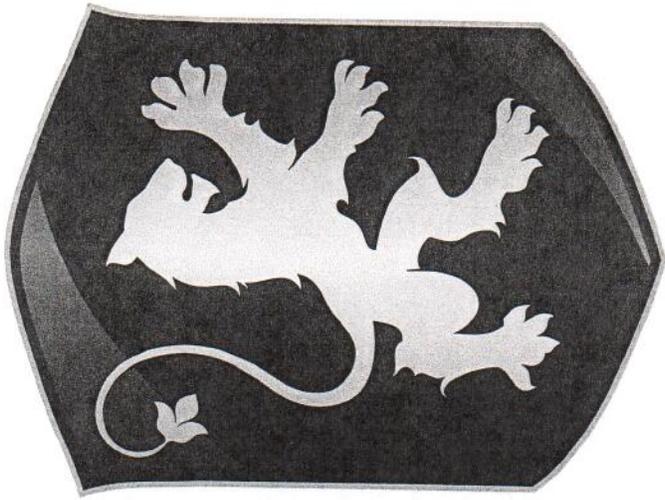
Each year Mott Hall Charter School accepts 90 rising 6th graders eligible to enroll in New York City schools with admissions preference given to students residing in Community School District 9 where the school is located. All applicants must be residents of New York State at the time of application.

We accept rising 7th and 8th grade scholars on a limited basis given seat availability.

We strongly encourage all interested families to attend an Information Session. Throughout the year Mott Hall holds these sessions for families to learn more about the key features of the school, what it takes to be a successful Mott Hall scholar, and what we expect of our parents.

Learn more at:

www.MottHallCharterSchool.org



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

We are now enrolling rising 6th, 7th & 8th graders for the 2015-16 school year.

Estamos matriculando a alumnos entrando en el 6^o, 7^o y 8^o grado para el año escolar 2015-16.

Nous inscrivons actuellement des élèves en classe de 6^{ème}, 7^{ème} et 8^{ème} pour l'année scolaire 2015-16.

Make an appointment now to enroll your child by contacting 718-991-9139 x1174 or admissions@mothalles.org.

Programar una cita ahora para registrar su niño/a llamando el 718-991-9139 x1174 o escribiendo a admissions@mothalles.org.

Prenez rendez-vous dès aujourd'hui pour inscrire votre enfant en appelant le 718-991-9139 ou en écrivant à admissions@mothalles.org.

Visit our website to learn more about our college preparatory program at:

Visite nuestra página web para aprender más sobre nuestro programa de preparación universitaria:

Visitez notre site web pour en apprendre davantage sur notre programme de préparation à l'université:

www.mothallcharterschool.org

The mission of the Mott Hall Charter School is to prepare our scholars in mind, body, and character to succeed in top high schools, colleges, and careers by becoming inquisitive, open-minded, and compassionate citizens of the world.

La misión de Mott Hall Charter School es preparar a nuestros alumnos en mente, cuerpo y carácter para que tengan éxito en las mejores escuelas secundarias, universidades y en sus carreras para convertirse en ciudadanos del mundo curiosos, de mente abierta y compasivos.

La mission de Mott Hall Charter School est de préparer nos élèves dans l'esprit, le corps et le caractère afin qu'ils réussissent dans les meilleurs lycées, universités et professions, en devenant des citoyens du monde curieux, ouverts d'esprit et compatissants.



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE
AN INTERNATIONAL BACCALAUREATE CANDIDATE SCHOOL

**We are now enrolling rising 6th graders
for the 2015-16 school year.**

Learn more about our college preparatory program at our information sessions every Thursday at 5:00pm at 1260 Franklin Avenue (between 168th and 169th streets).

Or

Make an appointment to enroll your child by contacting 347-712-0009 or admissions@motthallcs.org.

Mott Hall Charter School Mission

The mission of the Mott Hall Charter School is to prepare our scholars in mind, body, and character to succeed in top high schools, colleges, and careers, and to contribute to our global society.

www.motthallcharterschool.org



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE

June 30, 2015

Dear Parent/Guardian:

We are inviting Grade 6 Scholars to attend our first Summer Academy. Summer Academy provides the Scholars an opportunity to receive instruction in ELA & Math before the start of school, become accustomed to our school norms & procedures, and meet some of their teachers. Given these reasons, it is clear that your child will benefit from the Summer Academy experience. Summer Academy begins August 2nd and ends August 21st. Summer Academy will be held from Monday through Thursday from 9 AM to 12 PM. We will accept the first thirty Scholars who accept this offer. Please call Ms. Ching at (718) 991-9139 to let us know if you want your child to attend the academy. Should you have any additional questions or concerns, please contact the school at (718) 991-9139.

Sincerely,

Michael A. Williams

Michael Williams
Principal

1260 Franklin Avenue, Bronx, New York 10456 • Phone: 718-991-9139 • Fax: 718-991-9150

www.motthallcharterschool.org



Appendix I: Teacher and Administrator Attrition

Last updated: 07/21/2015

Report changes in teacher and administrator staffing.

Page 1

Charter School Name:

Instructions for completing the Teacher and Administrator Attrition Tables

ALL charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2014, the FTE for added staff from July 1, 2014 through June 30, 2015, and the FTE for any departed staff from July 1, 2014 through June 30, 2015 using the two tables provided.

2013-14 Teacher Attrition Table

	FTE Teachers on June 30, 2014	FTE Teachers Additions 7/1/14 – 6/30/15	FTE Teacher Departures 7/1/14 – 6/30/15
	10	25	14

2013-14 Administrator Position Attrition Table

	FTE Administrator Positions On 6/30/2014	FTE Administrator Additions 7/1/14 – 6/30/15	FTE Administrator Departures 7/1/14 – 6/30/15
	4	2	1

Thank you



Appendix J: Uncertified Teachers

Last updated: 07/21/2015

"thirty per centum or 5 teachers, whichever is less"

To comply with NYS Education Law Section 2854(3)(a-1), please report the (FTE) count of uncertified and certified teaching staff as of the last day of school for the 2014-15 school year.

Page 1

Charter School Name:

Note Definition of FTE:

Full-time equivalent employees equal the number of employees on full-time schedules plus the number of employees on part-time schedules converted to a full-time basis. The number of full-time equivalent employees in each industry is the product of the total number of employees and the ratio of average weekly hours per employee for all employees to average weekly hours per employee on full-time schedules. An industry's full-time equivalent employment will be less than the number of its employees on full- and part-time schedules, unless it has no part-time employees (U.S. Commerce--Bureau of Economic Analysis at: http://www.bea.gov/faq/index.cfm?faq_id=368#sthash.8Rbj89kq.dpuf)

How many **UNCERTIFIED** Full-Time Equivalent Teachers were employed in the charter school as of last day of school in 2014-15?

For each applicable category (i-iv), input the relevant full time equivalent (FTE) count of teachers.

	FTE - (June 30, 2015)
(i) uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience	5
(ii) individuals who are tenured or tenure track college faculty	0
(iii) individuals with two years satisfactory experience through Teach for America	0
(iv) individuals who possess exceptional business, professional, artistic, athletic, or military experience	1
FTE count of uncertified teachers who do not fit into any of the four statutory categories	0
Total	6.0

How many **CERTIFIED** Full-Time Equivalent Teachers were employed in the charter school as of the last day of school in 2014-15?

8

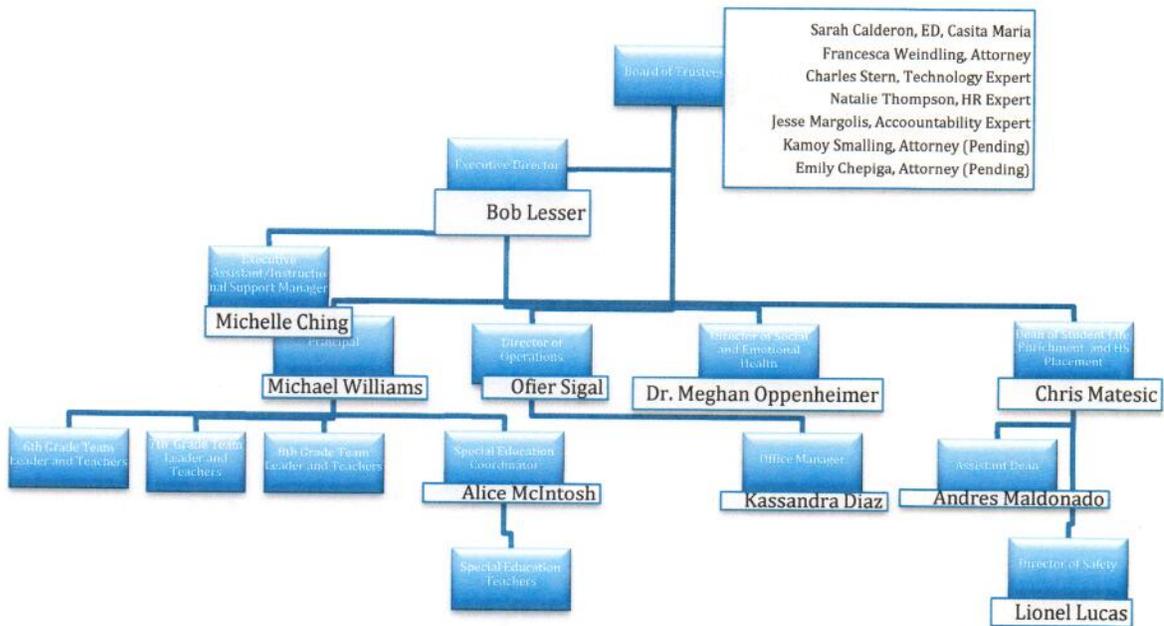
Thank you.



MOTT HALL CHARTER SCHOOL

RISE TO THE CHALLENGE

2014-15 Table of Organization





**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE

Organizational Chart 2014-2015

Administrative		
Name	Title	
Mr. Robert Lesser	Executive Director	
Mr. Michael Williams	Principal	
Mr. Ofier Sigal	Director of Operations	
Ms. Alice McIntosh	Special Education Coordinator	
Mr. Christopher Matesic	Director of College Readiness Admissions-Enrichment-HS Placement	
Mr. Andres Maldonado	Assistant Dean	
Ms. Cassandra Diaz	Operations Manager	
Dr. Meghan Oppenheimer	Director of Social & Emotional Health	
Mr. Farukh Manzoor	Assistant Dean	
Mrs. Shirley Rodriguez	Director of Family Engagement	

Teaching Staff		
Name	Subject Area	
Ms. Marlene Lewis	6 th grade Social Studies	
Mr. John Grant	7 th grade Social Studies	
Mr. Christian Narewski	8 th grade Social Studies	
Mr. Jonathan Theodore	6 th grade ELA	
Ms. Megan Whelan	7 th grade ELA	
Mr. Frank Queris	8 th grade ELA	
Mr. Pavel Colon	6 th grade Math	
Ms. Nadia Lawson-Reid	7 th grade Math	
Ms. Marija Kero	8 th grade Math	
Mr. Doug Ford	6 th grade Science	
Mr. Dermot O'Reilly	7 th grade Science	
Ms. Shirley Rodriguez	8 th grade Science	
Ms. Amy Beecher	6 th - 8 th grade Art	
Mr. Michael Wolf	6 th - 7 th grade Health/Physical Education	
Ms. Danillia Donegal	6 th and 8 th grade Spanish	
Mr. John DePonte	7 th grade Spanish	

Student Services		
Name	Title	Email
Ms. Daliza Lundy	7 th grade Special Education Teacher	
Ms. Latishia Williams	6 th grade Special Education Teacher	

The mission of the Mott Hall Charter School is to prepare our scholars in mind, body, and character to succeed in top high schools, colleges, and careers by becoming inquisitive, open-minded, and compassionate citizens of the world.

Mind-

Mott Hall Charter School offers a rigorous academic program grounded in the International Baccalaureate Middle Years Programme. This approach provides a student-centered and inquiry-based learning experience that strives to make learning engaging, relevant, and connected to a global context. Scholars are required to take three years of foreign language, coursework in the arts, and have the opportunity for Regents level classes in mathematics and science in 8th grade. All Mott Hall classes integrate technology and utilize elements of the AVID College and Career Readiness program to provide scholars with the organizational, time management, and study skills they need to succeed.

Body-

Middle school students are in a critical time for their physical health and development. They are full of energy, beginning to experience significant changes to their bodies, and are gaining more independence in making choices for themselves. In our efforts to curb the rate of childhood obesity in our community and to create healthy citizens Mott Hall has a no sugar or high fructose corn syrup policy on our campus. All scholars take physical education and health class each week including instruction in sexual health.

Under the guidance of a skilled coaching staff, Mott Hall offers a wide variety of after school athletic clubs and teams for both boys and girls including soccer, flag football, basketball and more. These opportunities not only help our scholars stay healthy they also provide college bound skills.

Character -

RISE Core Values

Through our core values we teach our scholars the character skills to overcome the obstacles they face to being successful in life. These core values are Responsibility, Integrity, Scholarship, and Excellence. Scholars are introduced to these attributes from the moment they walk through our door and our values

are reinforced throughout their time at Mott Hall through our school culture, our RISE Incentive Program, and our school affirmation.

Teaching Good Choices

Our behavior and discipline approach provides an age-appropriate response to scholar misbehavior. We set firm limits for acceptable behavior and help teach scholars to make the right choices for themselves. When they do not we provide additional opportunities for them to practice the skills they need in small group and one-on-one settings.

School Uniform

To foster a learning community free of distraction Mott Hall upholds a strict uniform policy. The policy is strictly enforced, with scholars expected to be in full uniform whenever they are on school grounds. Amendments to the uniform are only allowed for medical or religious reasons. Wearing our uniform each day reflects all four of our school's core values of responsibility, integrity, scholarship, and excellence.

- Mott Hall button down or polo shirt
- Mott Hall cardigan sweater or sweatshirt
- Khaki Pants with a brown or black belt
- Solid color socks
- Black sneakers or shoes (sneakers must be 80% black)

Social Emotional Health -

In order to make it to a top performing high school, our scholars will have to work harder than ever before, with greater focus, and more self-discipline. Our social and emotional health program helps scholars manage stressors they face at school and home, learn self-regulation strategies, and develop the emotional intelligence required to successfully navigate this time in their lives. Our supports include:

- Social and emotional health assessments
- Training for all staff in trauma and attachment theory and strength-based interventions.
- Individual and Group Counseling

- Body-mind techniques including breathing, guided imagery, visualization, meditation, and yoga.
- Parent support groups

College Readiness –

College readiness begins in the 6th grade as scholars gain the mindset and skills necessary to succeed in a college preparatory high school and ultimately in college. Mott Hall offers a comprehensive College Readiness Program which includes:

- Personalized high school vision plan for every scholar.
- High School placement and application assistance
- Top performing high school and college visits
- Test Preparation
- Partnerships with high school placement programs such as Prep for Prep and the Boys Club of New York.
- College Bound after school enrichment.

Our after school program allows scholars to pursue an interest and build skills in activities they can pursue in high school and college. These activities include visual art, music, theater, dance, STEM (Science, Technology, Engineering, and Mathematics), Basketball, Football, and Soccer.

College and Career Learning Journeys and International Travel

- To expose our scholars to the world beyond their immediate neighborhood, MHCS coordinates opportunities for them to learn first hand about various industries and what it takes to be successful in each. Learning Journeys have included exposure to finance at Goldman Sachs, hospitality at Amali Restaurant, fashion design at C Ronson, environmental conservation at Rocking the Boat, and more.
- Scholars who have embodied our core values have the opportunity to travel abroad to learn about other cultures and ways of life.

Conversant Mentor Initiative

- Part mentorship, part vocabulary and grammar lesson, and part training in the art of conversation, the MHCS Conversant Mentor Initiative pairs Scholars one-to-one with a professional adult. The adult shares his or her career path, introduces new vocabulary words, corrects common

grammatical mistakes, and helps the Scholar hone the important skill of conversation.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, December 29, 2015

Updated Monday, January 04, 2016

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/7ff9be7811b5cddccc>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Francesca	Weindling

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

-
- Other, please specify...: Board Member
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

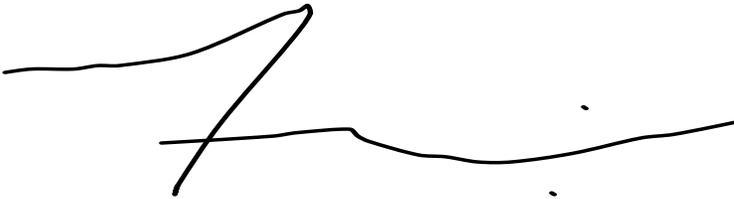
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of a series of connected strokes. It starts with a horizontal line on the left, followed by a large, sweeping upward curve that loops back down to cross the horizontal line. The rest of the signature is a long, slightly wavy horizontal line extending to the right.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Monday, January 04, 2016

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/281fe4d0866012afa1>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Charles	Stern

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

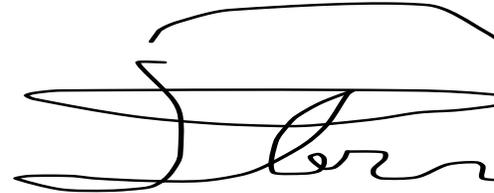
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in cursive script that reads "Charles".A handwritten signature in cursive script, appearing to be "John".

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Wednesday, November 04, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/c3339a179edd1bb4c>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Sarah	Calderon

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

sarahjcalderon@gmail.com

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

-
- Chair/President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

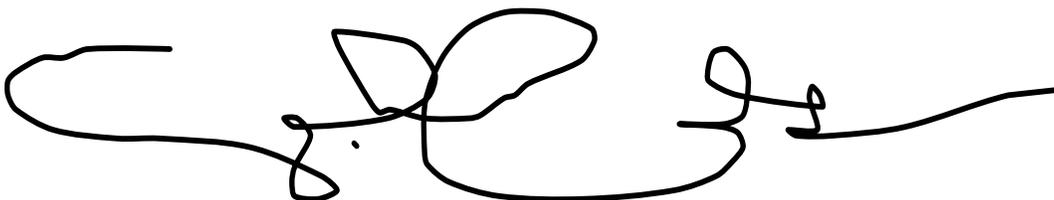
12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

Yes

12a. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write **None**.

	Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Member with Interest	Steps Taken to Avoid Conflict of Interest
1	Casita Maria Center for Arts & Education	After School programs	paid for by grant		
2					
3					
4					
5					

Signature of Trustee



Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Wednesday, November 04, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/276a028da7601b899>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Natalie	Thompson

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

- Secretary
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

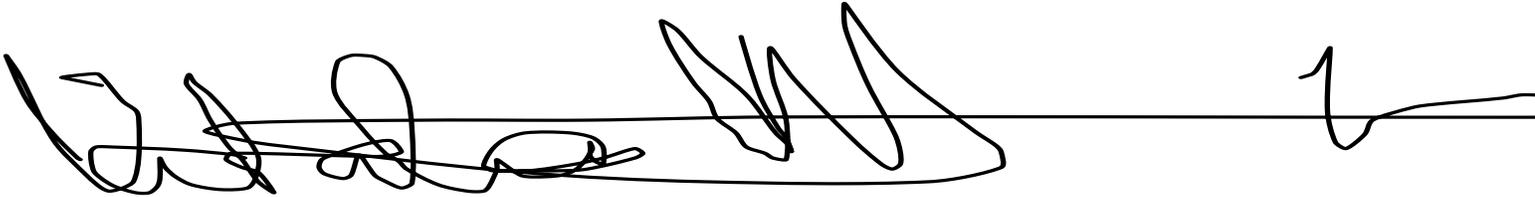
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, written over a horizontal line. The signature is highly stylized and cursive, consisting of several loops and flourishes. It appears to be a single name, possibly starting with a large 'W' or 'V'.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/3475e1ebca7aee6c27>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Jesse	Margolis

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

Yes

11a. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write **None**. Please note that if you answered Yes to Question 2-4 above, you need not disclose again your employment status, salary, etc.

	Date(s) of Transactions	Nature of Financial Interest/Transaction	Steps Taken to Avoid Conflict of Interest (e.g., did not vote, did not participate in discussion)	Name of Person Holding Interest and Relationship to You
1	12/1/12 to 12/31/13	I was a paid consultant	I was not yet a board member	Jesse Margolis; Self
2				
3				
4				
5				

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



The image shows a handwritten signature in black ink. The signature is written in a cursive style and reads "Jesse Margolis". The first name "Jesse" is written in a large, flowing script, and the last name "Margolis" is written in a similar but slightly more compact cursive style.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/a667f8b59e82b85284>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Kamoy	Smalling

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke, written across the page.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/12c1b968a20f0bbe96>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Emily	Chepiga

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

-
- Other, please specify...: Member, Fundraising Task Force
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

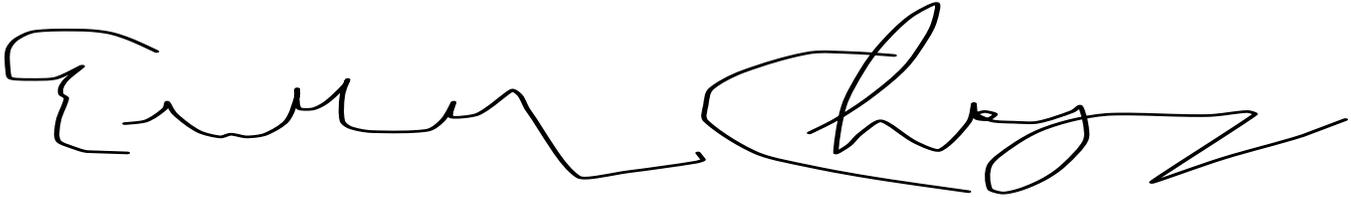
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "Eunice H. Jones", written in a cursive style.

Thank you.

Required Form: 2014-15 Appendix E - Trustee Disclosure of Financial Interest Form

Created Tuesday, November 03, 2015

<https://nysed.fluidsurveys.com/account/surveys/537586/responses/export//s/Regents-Appendix-E-BOT-Form/3d9a6419c0ec429fd8>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. TRUSTEE NAME

	First Name	Last Name
Trustee Name	Richard	Cohen

2. *Your Home Address:

2. *Your Home Address: Street Address	[REDACTED]
2. *Your Home Address: City/State	[REDACTED]
2. *Your Home Address: Zip	[REDACTED]

3. *Your Business Address

3. *Your Business Address Street Address	[REDACTED]
3. *Your Business Address City/State	[REDACTED]
3. *Your Business Address Zip	[REDACTED]

4. *Daytime Phone Number:

[REDACTED]

5. *E-mail Address:

[REDACTED]

6. I am a Trustee of a parent education corporation listed below which governs one or more charter schools.

No, I am not.

7. Select the name of the education corporation that operates a single charter school.

MOTT HALL CS (REGENTS) 320900861004

8. Select all positions you have held on the Board:

(check all that apply)

-
- Other, please specify...: Member
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

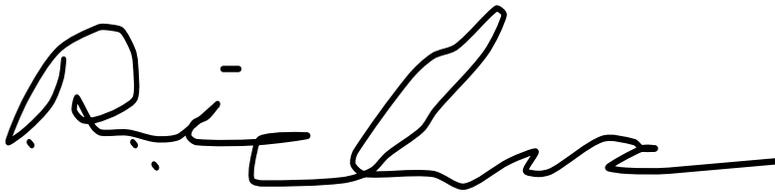
11. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

12. Are you a member, director, officer or employee of an organization formally partnered with a school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to be "Richard", written in a cursive style.A handwritten signature in black ink, appearing to be "Ch", written in a cursive style.

Thank you.



SCHALL Certified Public Accountants, LLC
& ASHENFARB

IRA L. SCHALL, CPA
DAVID C. ASHENFARB, CPA
MICHAEL L. SCHALL, CPA



**Audited Financial Statements In Accordance
With Government Auditing Standards**

June 30, 2015

307 Fifth Avenue, 15th Floor
New York, New York 10016
Tel: (212) 268-2800 Fax: (212) 268-2805
www.schallandashenfarb.com



INDEPENDENT AUDITORS' REPORT

To the Board of Trustees of
Mott Hall Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Hall Charter School as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Summarized Comparative Information

We have previously audited the School's 2014 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated October 7, 2014. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2014 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 15, 2015 on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.



Schall & Ashenfarb
Certified Public Accountants, LLC

October 15, 2015

MOTT HALL CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
AT JUNE 30, 2015
(With comparative totals at June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Assets		
Cash and cash equivalents	\$584,241	\$343,099
Restricted cash (Note 3)	76,600	26,552
Grant receivable - New York City (Note 4)	0	44,256
Government grants receivable	47,013	78,014
Prepaid expenses	0	11,874
Fixed assets, net (Note 5)	390,757	109,222
Security deposit (Note 8)	160,000	160,000
	<u>1,258,611</u>	<u>773,017</u>
Total assets	<u><u>\$1,258,611</u></u>	<u><u>\$773,017</u></u>
Liabilities and Net Assets		
Liabilities:		
Accounts payable and accrued expenses	\$386,933	\$35,153
Grant advance - New York City (Note 5)	13,446	0
	<u>400,379</u>	<u>35,153</u>
Total liabilities	<u>400,379</u>	<u>35,153</u>
Net Assets:		
Unrestricted	858,232	737,864
	<u>858,232</u>	<u>737,864</u>
Total net assets	<u>858,232</u>	<u>737,864</u>
Total liabilities and net assets	<u><u>\$1,258,611</u></u>	<u><u>\$773,017</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2015**
(With comparative totals for the year ended June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Unrestricted:		
Public Support and Revenue:		
Public school district: (Notes 2f and 5)		
Revenue - resident student enrollment	\$2,791,675	\$1,975,970
Revenue - students with special education services	501,990	262,514
Subtotal public school district revenue	<u>3,293,665</u>	<u>2,238,484</u>
Government grants (Note 2f)	357,899	245,057
Contributions (Note 2e)	37,495	10,415
Special event income	0	51,537
Food service subsidies and other income	<u>33,039</u>	<u>42,463</u>
Total public support and revenue	<u>3,722,098</u>	<u>2,587,956</u>
Expenses:		
Program services:		
Regular education	2,127,115	1,446,619
Special education	851,376	494,235
Total program services	<u>2,978,491</u>	<u>1,940,854</u>
Supporting services:		
Management and general	519,712	375,428
Fundraising	<u>103,527</u>	<u>53,113</u>
Total expenses	<u>3,601,730</u>	<u>2,369,395</u>
Change in net assets	120,368	218,561
Net assets - beginning	<u>737,864</u>	<u>519,303</u>
Net assets - ending	<u><u>\$858,232</u></u>	<u><u>\$737,864</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

MOTT HALL CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2015
(With comparative totals for the year ended June 30, 2014)

	Program Services			Supporting Services		Total Expenses 6/30/15	Total Expenses 6/30/14
	Regular Education	Special Education	Total	Management and General	Fundraising		
Salaries	\$1,327,788	\$572,859	\$1,900,647	\$200,502	\$79,016	\$2,180,165	\$1,383,919
Employee benefits and payroll taxes	250,128	107,915	358,043	37,770	14,891	410,704	296,225
Total personnel costs	<u>1,577,916</u>	<u>680,774</u>	<u>2,258,690</u>	<u>238,272</u>	<u>93,907</u>	<u>2,590,869</u>	<u>1,680,144</u>
Professional development	47,857	11,583	59,440	45,244		104,684	87,506
Professional fees	206,753	58,445	265,198	146,155	359	411,712	280,234
Student and staff recruitment			0	20,728		20,728	5,230
Curriculum and classroom expenses	93,125	22,540	115,665			115,665	85,885
Supplies and materials	68,964	22,994	91,958	5,024	1,980	98,962	45,945
Food services	11,220	2,716	13,936			13,936	46,169
Travel and conferences			0	21,874		21,874	6,499
Postage, printing, and copying	3,338	1,440	4,778	504	199	5,481	8,055
Insurance	10,407	4,490	14,897	1,572	619	17,088	14,231
Information technology	37,775	16,297	54,072	5,704	2,248	62,024	28,822
Non-capitalized equipment and furnishings	1,224	528	1,752	185	73	2,010	8,921
Repairs and maintenance	5,707	2,462	8,169	862	339	9,370	4,317
Depreciation	62,829	27,107	89,936	9,487	3,739	103,162	50,046
Indirect fundraising expenses			0		64	64	7,151
Other			0	24,101		24,101	10,240
Total other than personnel costs	<u>549,199</u>	<u>170,602</u>	<u>719,801</u>	<u>281,440</u>	<u>9,620</u>	<u>1,010,861</u>	<u>689,251</u>
Total expenses	<u>\$2,127,115</u>	<u>\$851,376</u>	<u>\$2,978,491</u>	<u>\$519,712</u>	<u>\$103,527</u>	<u>\$3,601,730</u>	<u>\$2,369,395</u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

MOTT HALL CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2015
(With comparative totals for the year ended June 30, 2014)

	<u>6/30/15</u>	<u>6/30/14</u>
Cash Flows from Operating Activities:		
Change in net assets	\$120,368	\$218,561
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation expense	103,162	50,046
Changes in assets and liabilities:		
Restricted cash	(50,048)	18
Grant receivable/advance - New York City	57,702	(44,256)
Government grants receivable	31,001	(73,885)
Prepaid expenses	11,874	(10,847)
Accounts payable and accrued expenses	351,780	(60,499)
Total adjustments	<u>505,471</u>	<u>(139,423)</u>
Net cash provided by operating activities	<u>625,839</u>	<u>79,138</u>
Cash Flows from Investing Activities:		
Purchases of furniture and equipment	<u>(384,697)</u>	<u>(14,993)</u>
Net cash used for investing activities	<u>(384,697)</u>	<u>(14,993)</u>
Net increase in cash and cash equivalents	241,142	64,145
Cash and cash equivalents - beginning	<u>343,099</u>	<u>278,954</u>
Cash and cash equivalents - ending	<u><u>\$584,241</u></u>	<u><u>\$343,099</u></u>
 Supplemental disclosures:		
Interest paid - \$0		
Taxes paid - \$0		

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2015**

Note 1 - Organization and Nature of Activities

Mott Hall Charter School (the "School"), located in Bronx, New York, is a not-for-profit education corporation chartered by the Regents of the University of the State of New York. The School provides a full range of educational services appropriate for the sixth, seventh and eighth grade levels. The School completed the 2014-2015 fiscal year with an average enrollment of approximately 200 students. The School is a publicly funded, privately managed school, which is independent of the New York City Department of Education ("NYCDOE").

On June 27, 2011, the School was granted a provisional charter by the University of the State of New York, Education Department for a term up through and including December 14, 2016. Such provisional charter may be extended upon application for a term of up to five years in accordance with the provisions of Article 56 of the Education Law.

The School is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes has been reflected in the accompanying financial statements. They have not been designated as a private foundation.

Note 2 - Significant Accounting Policies

a. Basis of Presentation

The accompanying financial statements have been prepared using the accrual basis of accounting which is the process of recognizing revenue and expenses when earned or incurred rather than when received or paid.

Net assets are classified based upon the existence or absence of donor-imposed restrictions as follows:

- *Unrestricted* – represent those resources for which there are no restrictions by donors as to their use.
- *Temporarily restricted* – represent those resources, the uses of which have been restricted by donors to specific purposes or the passage of time. The release from restrictions results from the satisfaction of the restricted purposes specified by the donor. Temporarily restricted contributions, the requirements of which are met in the year of donation, are reported as unrestricted. The School did not have any temporary restricted net assets at June 30, 2015 or June 30, 2014.
- *Permanently restricted* – accounts for activity restricted by donors that must remain intact in perpetuity. The School did not have any permanently restricted net assets at June 30, 2015 or June 30, 2014.

b. Cash and Cash Equivalents

The School considers all liquid investments purchased with a maturity of three months or less to be cash and cash equivalents. Restricted cash has been classified separately.

c. Concentration of Credit

Financial instruments which potentially subject the School to concentration of credit risk consist of checking accounts, which have been placed with a financial institution that management deems to be creditworthy. From time-to-time, cash balances may be in excess of insurance levels. At year-end, there was a significant uninsured balance; however, the School has not experienced any losses due to bank failure.

d. Capitalization Policy

Computer hardware, furniture, equipment and assembling of the website are capitalized at cost or at the fair value at the date of gift, if donated. The School capitalizes fixed assets in excess of pre-defined amounts that have a useful life of more than one year. Depreciation was computed using the straight-line method over the estimated useful lives of the respective assets, which generally are between 3 and 7 years.

e. Contributions

Contributions are recorded as revenue at the earlier of the receipt of cash or when a pledge is considered unconditional in nature. Contributions are available for unrestricted use, unless specifically restricted by the donor, in which case they are recorded in one of the restricted classes of net assets, depending on the nature of the restriction.

Contributions expected to be received within one year are recorded at their net realizable value. Long-term pledges are recorded at fair value using a risk adjusted discount rate. Conditional contributions are recognized as income when the conditions have been substantially met.

f. Revenue – Public School District and Government Grants

Program revenue is recognized based on student attendance using rates established by the School's funding source in the period during which services are provided.

The terms of each government grant are reviewed to determine if they contain traits more closely associated with contributions or exchange transactions. Management has determined that all existing government grants are exchange transactions because they are similar in nature to contracts for service. The difference between cash received and revenue recognized is reflected as government grants receivable or refundable advances.

g. Donated Services

Donated services are recognized in circumstances where those services create or enhance non-financial assets or require specialized skills, are provided by individuals possessing those skills and would typically need to be purchased if not provided in-kind.

Board members and other individuals volunteer their time and perform a variety of tasks that assist the School. These services do not meet the criteria outlined above and have not been recorded in the financial statements.

- h. Functional Allocation of Expenses
The costs of providing various programs and other activities have been summarized on a functional basis in the accompanying financial statements. Accordingly, certain costs have been allocated among the programs and supporting services benefited.
- i. Use of Estimates
The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.
- j. Contingencies
Government contracts are subject to audit by the grantor. Management does not believe that any audits, if they were to occur, would result in material disallowed costs, and has not established any reserves. Any disallowed costs would be recorded in the period notified.
- k. Accounting for Uncertainty in Income Taxes
The School does not believe its financial statements include any material, uncertain tax positions. June 30, 2013 was the initial filing, and tax filings for the periods since then are subject to examination by applicable taxing authorities.
- l. Comparative Financial Information
The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such information should be read in conjunction with the School's financial statements for the year ended June 30, 2014 from which the summarized information was derived.
- m. Subsequent Events
Management has evaluated for potential recognition and disclosure events subsequent to the date of the statement of financial position through October 15, 2015, the date the financial statements were available to be issued. No events have occurred subsequent to the statement of financial position date through our evaluation date that would require adjustment to or disclosure in the financial statements.

Note 3 - Restricted Cash

An escrow account has been established to meet the requirement of the Board of Regents of the State of New York. The purpose of this account is to ensure sufficient funds are available for an orderly dissolution or transition process in the event of termination of the charter or school closure.

Note 4 - Advance Payable/Grant Receivable – New York City Department of Education

	<u>6/30/15</u>	<u>6/30/14</u>
Beginning (advance payable)/grant receivable	\$44,256	\$0
Funding based on allowable FTE's	3,293,665	2,238,484
Advances received	<u>(3,351,367)</u>	<u>(2,194,228)</u>
Ending advance payable	<u>(\$13,446)</u>	<u>\$44,256</u>

Note 5 - Furniture and Equipment

Fixed assets consisted of the following:

	<u>6/30/15</u>	<u>6/30/14</u>
Furniture and equipment	\$567,990	\$183,293
Less: accumulated depreciation	<u>(177,233)</u>	<u>(74,071)</u>
Total fixed assets, net	<u>\$390,757</u>	<u>\$109,222</u>

Note 6 - Significant Concentrations

The School and NYCDOE signed an agreement, which permits the school to operate the charter. Approximately 88% and 86%, of the School's total public support and revenue was received from NYCDOE in 2015 and 2014, respectively. If NYCDOE were to discontinue funding, it would have a severe economic impact on the School's ability to operate.

Note 7- Donated Space

The School is located in a New York City Department of Education facility and utilizes approximately 7,000 square feet at no charge. The School was unable to determine a value for this service.

Note 8 - Commitments

The School entered into a lease agreement for space in Bronx, New York whereby the landlord intended to develop a 6 story building subject to the approval of the School and the New York City Department of Building. The lease was originally expected to commence on August 1, 2014 and expire on July 31, 2029. The School and landlord could not agree on the final terms of the building and, subsequent to year-end, the School began negotiating the termination of the lease agreement with the landlord. The School expects the landlord to return its security deposit of \$160,000 when these negotiations are completed.

Note 9 – Defined Contribution Plan

The School maintains a tax deferred 403(b) retirement plan. Employees who are at least 21 years old may participate by designating a percentage of their salaries, subject to regulatory limits, to be contributed to the plan on a pre-tax basis. The School matches employee contributions to the plan on a discretionary basis. The amount of estimated matching payments of \$8,000 for the year ended June 30, 2015 and \$28,000 for the year ended June 30, 2014 has been included in payroll taxes and employee benefits in the accompanying financial statements.



**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Trustees of
Mott Hall Charter School

Report on the Financial Statements

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities, functional expenses, and cash flows for the year then ended and the related notes to the financial statements, and have issued our report thereon dated October 15, 2015.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

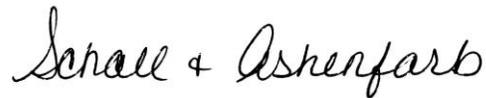
Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Schall & Ashenfarb
Certified Public Accountants, LLC

October 15, 2015

**MOTT HALL CHARTER SCHOOL
SCHEDULE OF FINDINGS AND RESPONSES
JUNE 30, 2015**

Current Year:

None

Prior Year:

2014-01 - This matter was resolved.