

I. SCHOOL INFORMATION AND COVER PAGE

Created Friday, August 01, 2014

Page 1

1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

320900861004 MOTT HALL CS

2. CHARTER AUTHORIZER

Regents-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 9

4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
1260 Franklin Avenue	718-991-9139	718-991-9150	

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Karlene Cowan
Title	Director of Operations
Emergency Phone Number (###-###-####)	

5. SCHOOL WEB ADDRESS (URL)

www.motthallcharterschool.org

6. DATE OF INITIAL CHARTER

2011-06-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2012-09-01 00:00:00

8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2013-14 (as reported on BEDS Day)

(as reported on BEDS Day)

151

9. GRADES SERVED IN SCHOOL YEAR 2013-14

Check all that apply

• 6

• 7

10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

Yes/No	Name of CMO/EMO
No	

11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2014-15.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	1260 Franklin Avenue	718-991-9139	CSD 9	6,7,8	Yes	DOE space

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Michael Willaims			
Operational Leader	Karlene Cowan			
Compliance Contact	Karlene Cowan			
Complaint Contact	Robert Lesser			

13. Are the School sites co-located?

Yes

13a. Please list the terms of your current co-location.

	Date School will leave current co-location	Is school working with NYCDOE to expand into current space?	If so, list year expansion will occur.	Is school working with NYCDOE to move to separate space?	If so, list the proposed space and year planned for move	School at Full Capacity at Site
Site 1 (primary site)		No		No		Yes

14. Were there any revisions to the school's charter during the 2013-2014 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check YES if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).

• Yes

Signature, Head of Charter School

A handwritten signature in black ink that reads "Robert Lerner". The letters are cursive and somewhat stylized.

Signature, President of the Board of Trustees

A handwritten signature in black ink that reads "Sarah Lerner". The letters are cursive and somewhat stylized.

Thank you.

Appendix A: Progress Toward Goals

Created Friday, August 01, 2014

Updated Monday, August 04, 2014

Page 1

Charter School Name: 320900861004 MOTT HALL CS

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/reportcard.php?instid=800000071085&year=2013&createreport=1&enrollment=1&freelunch=1&attendance=1&teacherq>

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the results are not available by August 1st, please list the goals and explain this in the "progress toward goal attainment" column. This task will reopen for the school to update and finalize by the November 1, 2014 due date.

2013-14 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	95% of students will attend school each day, on average	Attendance rate as measured in ATS	In 2013-2014, MHCS students had a 93.42% average daily attendance rate	Design and implementation of attendance improvement program.
Academic Goal 2	80% of students will complete homework each night, on average	Homework completion rate as measured by the MHCS Accountability System	In 2013-2014, MHCS students completed their homework 62% of the time, on average	Track HW completion daily. Publicly display school-wide rate. Emphasize importance to students.
Academic Goal 3	75% of 8th graders will be admitted to a high-performing high school	% of 8th graders admitted to a high school with an A or a B on the NYC Progress Report	High School Placement Coordinator worked with 7th graders and their families during the 2013-2014 school year get families ready high school admissions process. This included workshops, high school visits, partnerships with high school placement organizations and mock high school entrance assessments.	Design and implementation of high school placement improvement interventions
Academic Goal 4	67% of students improve their GPA from one quarter to the next	Change in GPA from Jupiter Grades	In 2013-2014, 67% of MHCS students improved their GPA from one quarter to the next	Greater emphasis with students, parents, and staff on the importance of GPA improvement. Increased academic supports as work

				increases in challenge
Academic Goal 5	75% of former MHCS 8th graders earn 10+ credits in 9th grade	10+ credits measure on NYC Progress Report	N/A	Review MHCS academic program with a lens on high school preparation. Consider providing on-going support to 9th grade alumni

2a1. Do have more academic goals to add?

No

2a2. Do have more academic goals to add?

No

2b. ORGANIZATIONAL GOALS

2013-14 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2013-14 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1	School will become an International Baccalaureate accredited school by end of 2015-2016 school year	Candidate School status will be obtained by beginning of 2014-15 school year	Candidate status was attained in 2014.	Met
Org Goal 2	School will successfully implement it's AVID model by end of 2014-15 school year.	Plan for AVID program implementation completed by end of 2013-14 school year.	AVID trainingsa and plan implementation completed. AVID program will begin in 2014-2015 school year.	Met
Org Goal 3	80% of employees will report high levels of employee satisfaction at MHCS	Employee satisfaction survey and retention rates	Employee satisfaction instrument is under development	Review of surveys and employee satisfaction initiatives.

2b.1 Do you have more organizational goals to add?

No

2c. FINANCIAL GOALS

2013-14 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	School will have financial resources to provide high-quality after school enrichment program for 75% of student population.	Percentage of students participating in MHCS after school enrichment program. MHCS program evaluation.	MHCS after school enrichment is currently underway and serving 63% of our student population.	Review of after school enrichment program
Financial Goal 2	School will have financial resources to provide high-quality summer enrichment program by summer of 2015 for 50% of student population.	Percentage of students participating in MHCS summer enrichment program. MHCS program evaluation.	Planning is currently underway for summer enrichment program.	Review of summer enrichment program.
Financial Goal 3	School will accomplish 100% annual Board giving by end of 2014 fiscal year.	Percentage of Board members making financial contribution to MHCS.	89% of MHCS Board members have made financial contributions to MHCS	Emphasize to Board that Board giving is one of our SED goals for which we are being evaluated.

Appendix B: Total Expenditures and Administrative Expenditures per Child

Created Friday, August 01, 2014

Page 1

Charter School Name: 320900861004 MOTT HALL CS

B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate 'Total Expenditures per Child' take total expenditures (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the count of students you reported on of BEDS Day. (Integers Only. No dollar signs or commas).

1. Total Expenditures Per Child Line 1: Total Expenditures	2362024
1. Total Expenditures Per Child Line 2: BEDS Day Pupil Count	151
1. Total Expenditures Per Child Line 3: Divide Line 1 by Line 2	15643

2. Administrative Expenditures per Child

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the BEDS per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

Do not include the FTE of personnel dedicated to administration of the instructional programs.

Do not include Employee Benefit costs or expenditures in the above calculations.

A template for the Schedule of Functional Expenses is provided on page 21 of the 2012 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2013-14 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 1: Relevant Personnel Services Cost (Row)	165524
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 2: Management and General Cost (Column)	330501
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 3: Sum of Line 1 and Line 2	496025
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 4: BEDS Day Pupil Count	151
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 5: Divide Line 3 by the BEDS Day Pupil Count	3285

Thank you.



Financial

School Name:

Date:

School Fiscal Contact Name:

School Fiscal Contact Email:

School Fiscal Contact Phone:

District of Location:

Authorizer:

Years of Operation:

Facility:

Grades Currently Served:

Planned Grades at Full Capacity:

Enrollment:

Max Enrollment:

Year of Most Recent Data

School Fiscal Contact Phone:

School Audit Firm Name:

School Audit Contact Name:

School Audit Contact Email:

School Audit Contact Phone:

Latest Audit Period (through June 30):

Do Not Use this Box



Audit Supplemental Data Request Form

for Regents-Authorized Charter Schools

Mott Hall Charter School

August 1, 2014

Karlene Cowan

[REDACTED]
New York City

SED

Two

Public

Sixth-Seventh

Sixth-Eighth

146

150

2014

718.991.9139

Schall & Ashenfarb

Joseph Albano

jalbano@schallandashenfarb.com

212.268.2800 x107

2013

Mott Hall Charter School2013

FILL IN GRAY CELLS

Mott Hall Charter School

STATEMENTS OF FINANCIAL POSITION

FOR THE YEARS ENDED JUNE 30,

	<u>2014</u>	<u>2013</u>
ASSETS		
CURRENT ASSETS		
Cash and cash equivalents	\$330,702	\$305,524
Grants and contracts receivable	58,746	-
Accounts receivables	57,971	4,129
Inventory	-	-
Prepaid Expenses	11,874	1,027
Contributions and other receivables	-	-
Other	<u>164,964</u>	<u>160,000</u>
TOTAL CURRENT ASSETS	\$624,257	\$470,680
OTHER ASSETS		
Investments	\$-	\$-
Property, Plant and Equipment, Net	110,244	144,274
Restricted Cash	<u>-</u>	<u>-</u>
OTHER ASSETS	\$110,244	\$144,274
TOTAL ASSETS	<u>\$734,501</u>	<u>\$614,954</u>
LIABILITIES AND NET ASSETS		
CURRENT LIABILITIES		
Accounts payable and accrued expenses	\$27,534	\$2,387
Accrued payroll and benefits	250	93,264
Refundable Advances	-	-
Dreferred Revenue	7,892	-
Current maturities of long-term debt	-	-
Short Term Debt - Bonds, Notes Payable	-	-
Other	<u>-</u>	<u>-</u>
TOTAL CURRENT LIABILITIES	\$35,676	\$95,651
LONG-TERM DEBT, net current maturities	\$-	\$-
TOTAL LIABILITIES	<u>\$35,676</u>	<u>\$95,651</u>
NET ASSETS		
Unrestricted	\$698,825	\$519,303
Temporarily restricted	<u>-</u>	<u>-</u>
TOTAL NET ASSETS	<u>\$698,825</u>	<u>\$519,303</u>
TOTAL LIABILITIES AND NET ASSETS	<u>\$734,501</u>	<u>\$614,954</u>

Check

-

-

FILL IN GRAY CELLS

Mott Hall Charter School
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30,

	2014			2013
	Unrestricted	Temporarily Restricted	Total	Total
REVENUE, GAINS AND OTHER SUPPORT				
State & Local Operating Revenue	\$2,196,228	\$-	\$2,196,228	\$1,854,494
Federal - Title and IDEA	-	227,360	227,360	357,766
Federal - Other	-	-	-	-
State and City Grants	-	-	-	-
Contributions and private grants	10,128	-	10,128	10,977
After school revenue	-	-	-	-
Other	2,500	-	2,500	-
Food Service/Child Nutrition Program	42,462	-	42,462	-
TOTAL REVENUE, GAINS AND OTHER SUPPORT	\$2,251,318	\$227,360	\$2,478,678	\$2,223,237
EXPENSES				
Program Services				
Regular Education	\$1,119,157	\$227,360	\$1,346,517	\$963,646
Special Education	448,785	-	448,785	321,215
Other Programs	-	-	-	-
Total Program Services	\$1,567,941	\$227,360	\$1,795,301	\$1,284,861
Supporting Services				
Management and general	\$496,025	\$-	\$496,025	\$355,027
Fundraising	70,861	-	70,861	50,718
TOTAL OPERATING EXPENSES	\$2,134,827	\$227,360	\$2,362,187	\$1,690,607
SURPLUS / (DEFICIT) FROM SCHOOL OPERATIONS	\$116,491	\$-	\$116,491	\$532,630
Contributions				
Foundations	\$-	\$-	\$-	\$-
Individuals	-	-	-	-
Corporations	-	-	-	-
Fundraising	63,031	-	63,031	-
Interest income	-	-	-	-
Miscellaneous income	-	-	-	-
Net assets released from restriction	-	-	-	-
TOTAL SUPPORT AND OTHER REVENUE	\$63,031	\$-	\$63,031	\$-
CHANGE IN NET ASSETS	\$179,522	\$-	\$179,522	\$532,630
NET ASSETS BEGINNING OF YEAR	\$519,303	\$-	\$519,303	\$(13,327)
PRIOR YEAR/PERIOD ADJUSTMENTS	-	-	-	-
NET ASSETS - END OF YEAR	\$698,825	\$-	\$698,825	\$519,303

MOTT HALL CHARTER SCHOOL

Audited Financial Statements In Accordance With Government Auditing Standards

June 30, 2014

MOTT HALL CHARTER SCHOOL

Table of Contents

	Page
Independent Auditors' Report	1 – 2
Statement of Financial Position	3
Statement of Activities	4
Statement of Functional Expenses	5
Statement of Cash Flows	6
Notes to Financial Statements	7 – 10
Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	11 – 12
Schedule of Findings and Responses	13

INDEPENDENT AUDITORS' REPORT

To the Board of Directors of
Mott Hall Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the organization's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the organization's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

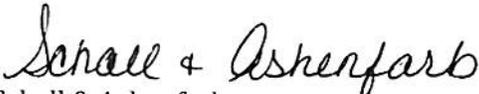
We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Hall Charter School as of June 30, 2014, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated October 7, 2014 on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.


Schall & Ashenfarb
Certified Public Accountants, LLC

October 7, 2014

**MOTT HALL CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
AT JUNE 30, 2014**

Assets

Cash and cash equivalents (Notes 2b and 2c)	\$343,099
Restricted cash (Note 3)	26,552
Grant receivable - New York City (Notes 2f and 5)	44,256
Government grants receivable (Note 2f)	78,014
Prepaid expenses	11,874
Fixed assets, net (Notes 2d and 4)	109,222
Security deposit (Note 8)	<u>160,000</u>
 Total assets	 <u><u>\$773,017</u></u>

Liabilities and Net Assets

Liabilities:

Accounts payable and accrued expenses	<u>\$35,153</u>
 Total liabilities	 <u>35,153</u>

Net Assets: (Note 2a)

Unrestricted	<u>737,864</u>
 Total net assets	 <u>737,864</u>
 Total liabilities and net assets	 <u><u>\$773,017</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2014**

Unrestricted:

Public Support and Revenue:

Public school district: (Notes 2f and 5)	
Revenue - resident student enrollment	\$1,975,970
Revenue - students with special education services	262,514
Subtotal public school district revenue	<u>2,238,484</u>
Government grants (Note 2f)	245,057
Contributions (Note 2e)	10,415
Special event income	51,537
Food service subsidies and other income	42,463
	<u>42,463</u>
Total public support and revenue	<u><u>2,587,956</u></u>

Expenses:

Program services:	
Regular education	1,446,619
Special education	494,235
Total program services	<u>1,940,854</u>
Supporting services:	
Management and general	375,428
Fundraising	53,113
Total expenses	<u><u>2,369,395</u></u>
Change in net assets	218,561
Net assets - beginning	519,303
Net assets - ending	<u><u>\$737,864</u></u>

*The attached notes and auditors' report
are an integral part of these financial statements.*

MOTT HALL CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2014

	Program Services			Supporting Services		Total Expenses
	Regular Education	Special Education	Total	Management and General	Fundraising	
Salaries	\$827,373	\$312,248	\$1,139,621	\$209,272	\$35,026	\$1,383,919
Employee benefits and payroll taxes	177,097	66,836	243,933	44,795	7,497	296,225
Total personnel costs	1,004,470	379,084	1,383,554	254,067	42,523	1,680,144
Professional development	35,150	9,193	44,343	43,163		87,506
Professional fees	201,135	42,907	244,042	36,119	73	280,234
Student and staff recruitment			0	5,230		5,230
Curriculum and classroom expenses	68,080	17,805	85,885			85,885
Supplies and materials	32,797	9,865	42,662	2,812	471	45,945
Food services	36,598	9,571	46,169			46,169
Travel and conferences			0	6,499		6,499
Postage, printing, and copying	4,816	1,817	6,633	1,218	204	8,055
Insurance	8,508	3,211	11,719	2,152	360	14,231
Information technology	17,231	6,503	23,734	4,359	729	28,822
Non-capitalized equipment and furnishings	5,333	2,013	7,346	1,349	226	8,921
Repairs and maintenance	2,581	974	3,555	653	109	4,317
Depreciation	29,920	11,292	41,212	7,567	1,267	50,046
Special event expenses			0		7,151	7,151
Other			0	10,240		10,240
Total other than personnel costs	442,149	115,151	557,300	121,361	10,590	689,251
Total expenses	\$1,446,619	\$494,235	\$1,940,854	\$375,428	\$53,113	\$2,369,395

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2014**

Cash Flows from Operating Activities:

Change in net assets	\$218,561
Adjustments to reconcile change in net assets to net cash provided by operating activities:	
Depreciation expense	50,046
Decrease/(increase) in assets:	
Restricted cash	18
Grant receivable - New York City	(44,256)
Government grants receivable	(73,885)
Prepaid expenses	(10,847)
Security deposit	0
Increase/(decrease) in liabilities:	
Accounts payable and accrued expenses	<u>(60,499)</u>
Total adjustments	<u>(139,423)</u>
Net cash provided by operating activities	<u>79,138</u>

Cash Flows from Investing Activities:

Purchases of furniture and equipment	<u>(14,993)</u>
Net cash used for investing activities	<u>(14,993)</u>
Net increase in cash and cash equivalents	64,145
Cash and cash equivalents - beginning	<u>278,954</u>
Cash and cash equivalents - ending	<u><u>\$343,099</u></u>

Supplemental disclosures:

Interest paid - \$0
Taxes paid - \$0

*The attached notes and auditors' report
are an integral part of these financial statements.*

**MOTT HALL CHARTER SCHOOL
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2014**

Note 1 - Organization and Nature of Activities

Mott Hall Charter School (the "School"), located in Bronx, New York, is a not-for-profit education corporation chartered by the Regents of the University of the State of New York. The School provides a full range of educational services appropriate for the sixth, seventh and eighth grade levels. The School completed the 2013-2014 fiscal year with an average enrollment of approximately 146 students. The School is a publicly funded, privately managed school, which is independent of the New York City Department of Education ("NYCDOE").

On June 27, 2011, the School was granted a provisional charter by the University of the State of New York, Education Department for a term up through and including December 14, 2016. Such provisional charter may be extended upon application for a term of up to five years in accordance with the provisions of Article 56 of the Education Law.

The School is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Accordingly, no provision for income taxes has been reflected in the accompanying financial statements. They have not been designated as a private foundation.

Note 2 - Significant Accounting Policies

a. Basis of Presentation

The accompanying financial statements have been prepared using the accrual basis of accounting which is the process of recognizing revenue and expenses when earned or incurred rather than when received or paid. All significant receivables, payables and other liabilities have been reflected.

Net assets are classified based upon the existence or absence of donor-imposed restrictions as follows:

- *Unrestricted* – represent those resources for which there are no restrictions by donors as to their use.
- *Temporarily restricted* – represent those resources, the uses of which have been restricted by donors to specific purposes or the passage of time. The release from restrictions results from the satisfaction of the restricted purposes specified by the donor. Temporarily restricted contributions, the requirements of which are met in the year of donation, are reported as unrestricted. The School did not have any temporary restricted net assets at June 30, 2014.
- *Permanently restricted* – accounts for activity restricted by donors that must remain intact in perpetuity. The School did not have any permanently restricted net assets at June 30, 2014.

b. Cash and Cash Equivalents

The School considers all liquid investments purchased with a maturity of three months or less to be cash and cash equivalents. Restricted cash has been excluded.

c. Concentration of Credit

Financial instruments which potentially subject the School to concentration of credit risk consist of cash accounts, which have been placed with a financial institution that management deems to be creditworthy. From time to time, cash balances may be in excess of insurance levels. At year-end, there was a significant uninsured balance; however, the School has not experienced any losses due to bank failure.

d. Capitalization Policy

Computer hardware, furniture, equipment and assembling of the website are capitalized at cost or at the fair value at the date of gift, if donated. The School capitalizes fixed assets in excess of pre-defined amounts that have a useful life of more than one year. Depreciation was computed using the straight-line method over the estimated useful lives of the respective assets, which generally are between 3 and 7 years.

e. Contributions

Contributions are recorded as revenue at the earlier of the receipt of cash or when a pledge is considered unconditional in nature. Contributions are available for unrestricted use, unless specifically restricted by the donor, in which case they are recorded in one of the restricted classes of net assets, depending on the nature of the restriction.

Contributions expected to be received within one year are recorded at their net realizable value. Long-term pledges are recorded at fair value using a risk adjusted discount rate. Conditional contributions received are recorded as liabilities and are recognized as income when the conditions have been substantially met.

f. Revenue – Public School District and Government Grants

Program revenue is recognized based on student attendance using rates established by the School's funding source in the period during which services are provided.

The terms of each government grant are reviewed to determine if they contain traits more closely associated with contributions or exchange transactions. Management has determined that all existing government grants are exchange transactions because they are similar in nature to contracts for service. The difference between cash received and revenue recognized is reflected as government grants receivable or refundable advances.

g. Donated Services

Donated services are recognized in circumstances where those services create or enhance non-financial assets or require specialized skills, are provided by individuals possessing those skills and would typically need to be purchased if not provided in-kind.

Board members and other individuals volunteer their time and perform a variety of tasks that assist the School. These services do not meet the criteria outlined above and

have not been recorded in the financial statements.

h. Functional Allocation of Expenses

The costs of providing various programs and other activities have been summarized on a functional basis in the accompanying statements of activities and functional expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

i. Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

j. Contingencies

Government contracts are subject to audit by the grantor. Management does not believe that any audits, if they were to occur, would result in material disallowed costs, and has not established any reserves. Any disallowed costs would be recorded in the period notified.

k. Accounting for Uncertainty in Income Taxes

The School does not believe its financial statements include any material, uncertain tax positions. June 30, 2013 was the initial filing, and tax filings for the periods since then are subject to examination by applicable taxing authorities.

l. Subsequent Events

Management has evaluated for potential recognition and disclosure events subsequent to the date of the statement of financial position through October 7, 2014, the date the financial statements were available to be issued. No events have occurred subsequent to the statement of financial position date through our evaluation date that would require adjustment to or disclosure in the financial statements.

Note 3 - Restricted Cash

An escrow account has been established to meet the requirement of the Board of Regents of the State of New York. The purpose of this account is to ensure sufficient funds are available for an orderly dissolution or transition process in the event of termination of the charter or school closure.

Note 4 - Furniture and Equipment

At June 30, 2014, fixed assets consisted of the following:

Furniture, equipment and website at cost	\$183,293
Less: accumulated depreciation	<u>(74,071)</u>
Furniture, equipment and website, net	<u>\$109,222</u>

Note 5 - Grant Receivable – New York City Department of Education

Grants receivable consists of the following:

Summary of fiscal year 6/30/14:

Funding based on allowable FTE's	\$2,238,484
Advances received – fiscal year 2013-2014	<u>(2,194,228)</u>
Grants receivable from New York City at 6/30/14	<u>\$44,256</u>

Note 6 – Significant Concentrations

The School is dependent upon grants from NYCDOE to carry out its operations. For the year ended June 30, 2014, approximately 86% of the School's total public support and revenue was received from NYCDOE. If NYCDOE were to discontinue funding, it would have a severe economic impact on the School's ability to operate.

Note 7- Donated Space

The School is located in a New York City Department of Education facility and utilizes approximately 7,000 square feet at no charge. The School was unable to determine a value for this service.

Note 8 - Commitments

The School entered into a lease agreement for space in Bronx, New York whereby the landlord intended to develop a 6 story building subject to the approval of the School and the New York City Department of Building. The lease was originally expected to commence on August 1, 2014 and expire on July 31, 2029. Per terms of the agreement, the amount of rent was to be determined at the time the design of the building was finalized. The School is still negotiating the final terms of the agreement; therefore, the lease has not commenced yet. The School paid a deposit of \$160,000 to the landlord in connection with this lease.

Note 9 – Defined Contribution Plan

The School maintains a tax deferred 403(b) retirement plan. Employees who are at least 21 years old may participate by designating a percentage of their salaries, subject to regulatory limits, to be contributed to the plan on a pre-tax basis. The School matches employee contributions to the plan on a discretionary basis. The amount of estimated matching payments of \$28,000 has been included in payroll taxes and employee benefits in the accompanying statement of functional expenses.

**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Directors of
Mott Hall Charter School

Report on the Financial Statements

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Mott Hall Charter School (the "School"), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses, and cash flows for the year then ended and the related notes to the financial statements, and have issued our report thereon dated October 7, 2014.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

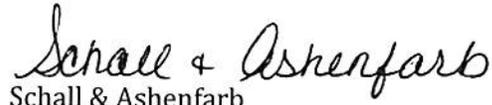
As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards* and which are described in the accompanying schedule of findings and responses as item 2014-01.

Management's Response to Findings

The School's response to the finding is reported in the schedule of findings and responses. The response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.


Schall & Ashenfarb
Certified Public Accountants, LLC

October 7, 2014

**MOTT HALL CHARTER SCHOOL
SCHEDULE OF FINDINGS AND RESPONSES
JUNE 30, 2014**

Current Year:

2014-01 – Cash – Escrow Account

Criteria: The School is required to establish an escrow account of no less than a set dollar amount determined by New York State Education Department (“NYCDOE”).

Condition: The School did not transfer the required amount during the year.

Cause: The School’s management did not transfer the required amount by the deadline set by NYCDOE.

Effect or Potential Effect: The School is out of compliance with the NYSED escrow requirement.

Recommendation: The School should ensure the escrow account is properly funded.

Management Response: The School transferred funds in July 2014 to bring the escrow balance into compliance with NYCDOE.

Prior Year:

None

**INDEPENDENT ACCOUNTANT'S REPORT
ON APPLYING AGREED UPON PROCEDURES**

To the Board of Trustees of
Mott Hall Charter School

We have performed the procedures identified below, which were agreed to by the management of Mott Hall Charter School and the New York State Education Department solely to assist the specified parties in evaluating the School's assertion to New York State Education Department that it has maintained compliance with the requirements of the CSP grant and Federal and NYSED guidelines in managing CSP grant for the year ended June 30, 2014.

This agreed upon procedures engagement was performed in accordance with attestation standards established by the American Institute of Certified Public Accountants. The sufficiency of these procedures is solely the responsibility of the specific users of the report. Consequently, we make no representation regarding the sufficiency of the procedures described below whether for the purpose for which this report has been requested or for any other purpose.

The procedures we performed and our results are as follows:

Procedure #1: We obtained the detail of expenditures incurred for the period under review relating to the CSP grant from the Charter School's accounting software and reconciled to the grant revenue recorded by the Charter School.

Results:

The expenses from the detail of expenditures that we obtained for the period under review reconciled to the grant revenue recorded in the books.

Procedure #2: We obtained the NYSED approved CSP grant award information, including the budget and any amendments, to determine if the revenue and expenditures recorded for the period appear reasonable.

Results:

Based on the NYSED approved SED grant award information reviewed, the revenue and expenditures for the period appeared reasonable.

Procedure #3: We selected a sample of expenditures from the detail obtained in Procedure #1.

- a. Payroll – We selected 10 items or 10% of the total number of payroll items charged to the grant, whichever was less.

- b. Other expenses – We selected 10 items or 10% of the total number of other expense items charged to the grant, whichever was less.
- c. Using the above selected items, we:
 - i. Determined that the expenditure was in accordance with the purpose of the grant and that pre-opening expenditures were charged to pre-opening periods. (See non-regulatory guidance on the CSP grant at <http://www.p12.nysed.gov/psc/grants.html>)
 - ii. Determined if the expenditures fell into an approved budget category
 - iii. Determined if the expenditure was charged to the appropriated fiscal period

Results:

We noted that there were 23 payroll items and 27 other expense items charged to the grant. We selected 3 items from payroll and 3 items from other expenses and determined that:

- i. The expenditures were in accordance with the purpose of the grant.
- ii. The expenditures fell with into approved budget category.
- iii. The expenditures were charged to the appropriate fiscal period.

Procedure #4: We obtained FS-25 form(s) submitted to NYSED during the period under review and performed the following.

- a. Traced expenditures selected in Procedure #3 to requests for reimbursement to determine that items requested for reimbursement had previously been expended or were expended within a month following the request for reimbursement. If any had not yet been requested for reimbursement, we inquired of responsible charter school officials as to the plan for requesting reimbursement, and determined if a receivable was recorded, if appropriate.
- b. For FS-25 forms that included amounts on Line 4 (Cash Expenditures Anticipated During Next Month), we selected one FS-25 and determined whether funds were expended within 1 month following the date of the request.

Results:

- a. We traced all of the expenses selected in Procedure #3 to their corresponding FS-25 form submitted and determined that they all were spent prior to or within one month following the request for reimbursement.
- b. We selected one FS-25 and noted that there was no amount reported on Line 4.

We were not engaged to, and did not conduct an examination, the objective of which would be the expression of an opinion on Mott Hall Charter School's compliance with the requirements of the CSP grant. Accordingly, we do not express such an opinion. Had we performed additional procedures, other matters might have come to our attention that would have been reported to you.

This report is intended solely for the use of Mott Hall Charter School and the New York State Education Department, and it is not intended to be and should not be used by anyone other than the specified parties.

Schall & Ashenfarb
Schall & Ashenfarb
Certified Public Accountants, LLC

October 28, 2014

New York State Education Department

Request for Proposals to Establish Charter Schools Authorized by the Board of Regents

Budget and Cash Flow Templates for the 2013 New Charter Applications

General Instructions and Notes for New Application Budgets and Cash Flows Templates

1	Complete ALL SIX tabs in BLUE
2	Enter information into the GRAY cells
3	Cells labeled in ORANGE contain guidance pertaining to that tab
4	Cells containing RED triangles in the upper right corner in columns B through G contain guidance on that particular item
5	Funding by School District information for all NYS School district is located on the State Aid website at https://stateaid.nysed.gov/charter/ . Refer to this website for per-pupil tuition funding for all school districts. Rows may be inserted in the worksheet to accommodate additional districts if necessary.
6	The Assumptions column should be completed for all revenue and expense items unless the item is self-explanatory. Where applicable, please reference the page number or section in the application narrative that indicates the assumption being made. For instance, student enrollment would reference the applicable page number in Section I, C of the application narrative.

Mott Hall Charter School

PROJECTED BUDGET FOR 2014-2015

Assumptions

July 1, 2014 to June 30, 2015

DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Total Revenue	3,461,970	200,000	-	-	-	3,661,970
Total Expenses	2,125,335	481,995	-	27,494	538,265	3,173,090
Net Income	1,336,635	(281,995)	-	(27,494)	(538,265)	488,880
Actual Student Enrollment	178	50				
Total Paid Student Enrollment	-	-				

PROGRAM SERVICES

SUPPORT SERVICES

REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
-------------------	-------------------	-------	-------------	----------------------	-------

REVENUE

REVENUES FROM STATE SOURCES

	CY Per Pupil Rate	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Per Pupil Revenue							
New York City	\$13,777.00	3,150,800	-	-	-	-	3,150,800
School District 2 (Enter Name)		-	-	-	-	-	-
School District 3 (Enter Name)		-	-	-	-	-	-
School District 4 (Enter Name)		-	-	-	-	-	-
School District 5 (Enter Name)		-	-	-	-	-	-
		3,150,800	-	-	-	-	3,150,800
Special Education Revenue		-	200,000	-	-	-	200,000
Grants							
Stimulus		-	-	-	-	-	-
Other		-	-	-	-	-	-
Other State Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM STATE SOURCES		3,150,800	200,000	-	-	-	3,350,800

REVENUE FROM FEDERAL FUNDING

IDEA Special Needs		-	-	-	-	-	-
Title I		92,830	-	-	-	-	92,830
Title Funding - Other		13,300	-	-	-	-	13,300
School Food Service (Free Lunch)		-	-	-	-	-	-
Grants							
Charter School Program (CSP) Planning & Implementation		-	-	-	-	-	-
Other		-	-	-	-	-	-
Other Federal Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM FEDERAL SOURCES		106,130	-	-	-	-	106,130

LOCAL and OTHER REVENUE

Contributions and Donations, Fundraising		125,000	-	-	-	-	125,000
Erate Reimbursement		60,000	-	-	-	-	60,000
Interest Income, Earnings on Investments,		-	-	-	-	-	-
NYC-DYCD (Department of Youth and Community Developmt.)		-	-	-	-	-	-
Food Service (Income from meals)		-	-	-	-	-	-
Text Book		20,040	-	-	-	-	20,040
Other Local Revenue		-	-	-	-	-	-
TOTAL REVENUE FROM LOCAL and OTHER SOURCES		205,040	-	-	-	-	205,040

TOTAL REVENUE

	3,461,970	200,000	-	-	-	-	3,661,970
--	-----------	---------	---	---	---	---	-----------

List exact titles and staff FTE's (Full time equivalent)

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS

No. of Positions

Executive Management	1.00	87,780	29,260	-	4,620	32,340	154,000
Instructional Management	1.00	99,265	5,735	-	-	-	105,000
Deans, Directors & Coordinators	-	-	-	-	-	-	-
CFO / Director of Finance	-	-	-	-	-	-	-
Operation / Business Manager	2.00	-	-	-	-	123,000	123,000
Administrative Staff	1.00	-	-	-	-	45,000	45,000
TOTAL ADMINISTRATIVE STAFF	5	187,045	34,995	-	4,620	200,340	427,000

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	13.00	844,110	-	-	-	-	844,110
Teachers - SPED	4.00	-	271,880	-	-	-	271,880
Substitute Teachers	-	4,727	273	-	-	-	5,000
Teaching Assistants	-	-	-	-	-	-	-

Mott Hall Charter School

PROJECTED BUDGET FOR 2014-2015

Assumptions

July 1, 2014 to June 30, 2015

DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
Total Revenue	3,461,970	200,000	-	-	-	3,661,970
Total Expenses	2,125,335	481,995	-	27,494	538,265	3,173,090
Net Income	1,336,635	(281,995)	-	(27,494)	(538,265)	488,880
Actual Student Enrollment	178	50				-
Total Paid Student Enrollment	-	-				-

PROGRAM SERVICES

SUPPORT SERVICES

	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL
--	-------------------	-------------------	-------	-------------	----------------------	-------

Specialty Teachers	3.00	176,366	10,189	-	-	-	186,555
Aides	-	-	-	-	-	-	-
Therapists & Counselors	3.00	212,712	12,288	-	-	-	225,000
Other	-	105,694	6,106	-	-	-	111,800
TOTAL INSTRUCTIONAL	23	1,343,609	300,736	-	-	-	1,644,345
NON-INSTRUCTIONAL PERSONNEL COSTS							
Nurse	-	-	-	-	-	-	-
Librarian	-	-	-	-	-	-	-
Custodian	-	-	-	-	-	-	-
Security	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-
TOTAL NON-INSTRUCTIONAL	-	-	-	-	-	-	-
SUBTOTAL PERSONNEL SERVICE COSTS	28	1,530,654	335,731	-	4,620	200,340	2,071,345
PAYROLL TAXES AND BENEFITS							
Payroll Taxes	-	125,820	27,597	-	380	16,468	170,265
Fringe / Employee Benefits	-	134,720	44,907	-	7,091	49,634	236,350
Retirement / Pension	-	35,308	11,769	-	1,858	13,008	61,943
TOTAL PAYROLL TAXES AND BENEFITS	-	295,847	84,273	-	9,329	79,109	468,558
TOTAL PERSONNEL SERVICE COSTS	-	1,826,501	420,004	-	13,949	279,449	2,539,903
CONTRACTED SERVICES							
Accounting / Audit	-	-	-	-	24,000	-	24,000
Legal	-	-	-	-	-	-	-
Management Company Fee	-	-	-	-	-	-	-
Nurse Services	-	-	-	-	-	-	-
Food Service / School Lunch	-	-	-	-	-	-	-
Payroll Services	3,420	1,140	-	180	1,260	-	6,000
Special Ed Services	-	-	-	-	-	-	-
Titlement Services (i.e. Title I)	-	-	-	-	-	-	-
Other Purchased / Professional / Consulting	-	-	-	-	175,000	-	175,000
TOTAL CONTRACTED SERVICES	3,420	1,140	-	180	200,260	-	205,000
SCHOOL OPERATIONS							
Board Expenses	-	-	-	-	-	-	-
Classroom / Teaching Supplies & Materials	41,750	-	-	-	-	-	41,750
Special Ed Supplies & Materials	-	2,400	-	-	-	-	2,400
Textbooks / Workbooks	37,815	2,185	-	-	-	-	40,000
Supplies & Materials other	2,363	137	-	-	-	-	2,500
Equipment / Furniture	27,759	9,253	-	1,461	10,227	-	48,700
Telephone	2,850	950	-	150	1,050	-	5,000
Technology	41,610	13,870	-	2,190	15,330	-	73,000
Student Testing & Assessment	11,345	655	-	-	-	-	12,000
Field Trips	22,689	1,311	-	-	-	-	24,000
Transportation (student)	-	-	-	-	-	-	-
Student Services - other	12,952	748	-	-	-	-	13,700
Office Expense	19,095	6,365	-	1,005	7,035	-	33,500
Staff Development	43,632	14,544	-	2,296	16,075	-	76,548
Staff Recruitment	5,700	1,900	-	300	2,100	-	10,000
Student Recruitment / Marketing	7,563	437	-	-	-	-	8,000
School Meals / Lunch	1,995	665	-	105	735	-	3,500
Travel (Staff)	855	285	-	45	315	-	1,500
Fundraising	-	-	-	5,000	-	-	5,000

Mott Hall Charter School

PROJECTED BUDGET FOR 2014-2015

PROJECTED BUDGET FOR 2014-2015							Assumptions
July 1, 2014 to June 30, 2015							DESCRIPTION OF ASSUMPTIONS - Please note assumptions when applicable
Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.							
	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL	
Total Revenue	3,461,970	200,000	-	-	-	3,661,970	
Total Expenses	2,125,335	481,995	-	27,494	538,265	3,173,090	
Net Income	1,336,635	(281,995)	-	(27,494)	(538,265)	488,880	
Actual Student Enrollment	178	50				-	
Total Paid Student Enrollment	-	-				-	
	PROGRAM SERVICES			SUPPORT SERVICES			
	REGULAR EDUCATION	SPECIAL EDUCATION	OTHER	FUNDRAISING	MANAGEMENT & GENERAL	TOTAL	
Other	1,476	492	-	78	544	2,589	
TOTAL SCHOOL OPERATIONS	281,450	56,196	-	12,630	53,411	403,687	
FACILITY OPERATION & MAINTENANCE							
Insurance	11,400	3,800	-	600	4,200	20,000	
Janitorial	-	-	-	-	-	-	
Building and Land Rent / Lease	-	-	-	-	-	-	
Repairs & Maintenance	2,565	855	-	135	945	4,500	
Equipment / Furniture	-	-	-	-	-	-	
Security	-	-	-	-	-	-	
Utilities	-	-	-	-	-	-	
TOTAL FACILITY OPERATION & MAINTENANCE	13,965	4,655	-	735	5,145	24,500	
DEPRECIATION & AMORTIZATION	-	-	-	-	-	-	
DISSOLUTION ESCROW & RESERVES / CONTINGENCY	-	-	-	-	-	-	
TOTAL EXPENSES	2,125,335	481,995	-	27,494	538,265	3,173,090	
NET INCOME	1,336,635	(281,995)	-	(27,494)	(538,265)	488,880	
ENROLLMENT - *School Districts Are Linked To Above Entries*	REGULAR EDUCATION	SPECIAL EDUCATION	TOTAL ENROLLED				
New York City	178	50	228				
School District 2 (Enter Name)			-				
School District 3 (Enter Name)			-				
School District 4 (Enter Name)			-				
School District 5 (Enter Name)			-				
TOTAL ENROLLMENT	178	50	228				
REVENUE PER PUPIL	19,449	4,000	-				
EXPENSES PER PUPIL	11,940	9,640	-				

Appendix E: Disclosure of Financial Interest Form

Created Friday, August 01, 2014

Page 1

320900861004 MOTT HALL CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2013-14 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at:

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/>. Trustees may download and/or email their forms to you upon completion.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <https://fluidsurveys.com/account/surveys/540612/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.
Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

Appendix F: BOT Membership Table

Created Friday, August 01, 2014

Updated Monday, August 04, 2014

Page 1

320900861004 MOTT HALL CS

1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Dr. Patrick Awosogba	Treasurer	Yes	Education	1, 3 Election - 1/17/12 Expiration - 1/16/15	Executive, Finance
2	Dr. Brenda Bravo	Vice Chair/Vice President	Yes	Education	1, 3 Election - 1/17/12 Expiration - 1/16/15	Executive
3	Sarah Calderon	Chair/President	Yes	Non Profit Organizations	1, 3 Election - 1/17/12 Expiration - 1/16/15	Executive, Finance
4	Matthew Friedman	Member	Yes	Education	1, 3 years Election - 2/25/14 Expiration - 2/24/17	
5	Peter Oroszlany	Member	Yes	Education	1, 3 Election - 1/17/12 Expiration - 1/16/15	Finance
6	Charles Stern	Member	Yes	Information Systems	1, 3 years Election - 8/21/12 Expiration - 8/20/15	
7	Natalie Thompson	Secretary	Yes	Human Capital Management	1, 3 Election - 1/17/12 Expiration - 1/16/15	
8	Jesse Margolis	Member	Yes	Accountability and Data	1, 3 years Election - 2/25/14 Expiration - 2/24/17	
9	Francesca Weindling	Member	Yes	Real Estate Law	1, 3 years Election - 8/21/12 Expiration - 8/20/15	

2. Total Number of Members Joining Board during the 2013-14 school year

2

3. Total Number of Members Departing the Board during the 2013-14 school year

2

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

11

5. How many times did the Board meet during the 2013-14 school year?

10

6. How many times will the Board meet during the 2014-15 school year?

10

Thank you.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

June 3, 2014

The regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on June 3, 2014 beginning at 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Brenda Bravo, Sarah Calderon, Jesse Margolis, Charles Stern, Natalie Thompson and Francesca Weindling.**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS, Michael Williams, Principal – MHCS, Alice McIntosh, Jill Jansson, and Marie Fornes.**

Patrick Awosogba, Matthew Friedman and Peter Oroszlany were absent from the meeting.

No parent(s) attended this meeting.

Natalie Thompson presided at this meeting and recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Thompson reviewed the agenda and opened the floor for public comment. No public comment was made.

Board Motions and Approvals

1. Acknowledgement of the Approval of Prior Meeting Minutes. Charles Stern made a motion to approve the May 6, 2014 meeting minutes. Motion was seconded by Francesca Weindling. They were reviewed and unanimously approved by the BoT.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

June 3, 2014

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Academic, High School Placement, and Enrichment Program

- 6 formal and 2 informal observations were conducted in the month of May
- Teachers have begun planning and implementing final projects
- PD has focused on classroom management, using Mimeo technology, and 3rd Quarter Accountability
- Successfully place Diego Flores into the Prep 9 program
- Compiled a list of 42 possible public High Schools in the Bronx for our scholars
- Implemented the MHCS High School vision MAP with section 703
- Partnered with the SSAT to administer the grade 8th-11th SSAT to 10 of our rising 8th grade scholars interested in applying to independent/boarding schools
- Took 6 of our top rising 8th grade scholars to visit the Peddie School and meet with Mike Agosto, the Associate Director of Admissions and coordinator of diversity
- Placed 30 of our rising 8th grade scholars in the Artworks internship program by Casita Maria at MHCS to avoid the "summer slide" and to activate their college/career readiness training

Staffing

- Staffing process for next year is still underway. More than half of positions are filled
- Existing staff re-application process is complete
- We continue to work with various vendors to source candidates

Accountability

- Met with Jesse Margolis on June 3rd to determine modifications to Accountability system for next year

Operations, HR and Finance

- Finance Committee met May 12th to review draft budget. Budget will be reviewed and approved at the June 17th Board meeting



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

June 3, 2014

Siting and Elected Official Engagement

- Meeting with building developer to discuss adding a 'Right to Buy' provision in our lease in order for the lease to stay in effect.

Development

- Margaret Fredrickson, Director of Development at World Learning will be joining as Development Consultant one day per week beginning mid-June.

Board Recruitment

- We are continuing to recruit new potential Board members. See attached attendance log

Committee/ Taskforce and other Updates

Academic Taskforce – reviewed of the MHCS Accountability Report. Board member Jesse Margolis is reviewing our current metric with an eye toward streamlining the current data points to achieve greater efficiency and accuracy in data collection

Development Taskforce – will work with the Development Consultant on Development Strategy for 2015

Finance Committee - continue to review the MHCS Budget. We will have a more fulsome discussion at the June 17th Annual meeting.

Executive Committee - no updates.

Forward Agenda

1. Annual meeting on June 17, 2014
2. Committee/Taskforce Updates



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

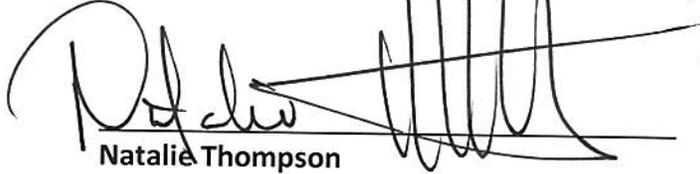
June 3, 2014

3. Board Packet Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

Respectfully submitted,



Natalie Thompson
Secretary

APPROVED.



Sarah Calderon
Chair



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

May 6, 2014

The regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on May 8, 2014 beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Patrick Awosogba, Sarah Calderon, Jesse Margolis, Charles Stern, Natalie Thompson and Francesca Weindling.**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS.**

Brenda Bravo, Matthew Friedman and Peter Oroszlany were absent from the meeting.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Natalie Thompson recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment was made.

Board Motions and Approvals

1. Acknowledgement of the Approval of Prior Meeting Minutes. Sarah Calderon made a motion to approve the April 1, 2014 meeting minutes. Motion was seconded by Charles Stern. They were reviewed and unanimously approved by the BoT.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

May 6, 2014

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Academic, High School Placement, and Enrichment Program

IB Candidate School Application approved.

7 informal observations and 1 formal observation were conducted in April.

45 students attended out of 68 invited for Spring Break Academy focusing on Mathematics remediation and acceleration.

Tennis program begin in May at Crotona Park.

Two students accepted into the US Naval Academy Summer STEM camp. One student accepted into Higher Achievement Program this summer at Fordham Prep.

Three rising 7th grade girls will attend the Girls Leadership Institute Summer camp at Mt. Holyoke.

10 of our rising 7th grade boys joined the Boys Club of NY, Gerry House, in East Harlem, 5 of whom will apply for a spot in the Independent School Placement program.

The MHCS dance team placed third in a NYC area competition.

Staffing

One staff member (7th grade Social Studies) resigned and we hired one math and one science teacher thus far.

We continue to work with On-Ramps as a potential firm to source candidates.

Accountability

Board member Jesse Margolis and DCIA Lydia Cordero are determining metric for student directed learning and the remaining metrics for MHCS Accountability System.

Operations, HR and Finance

Art/Science lab solution is being finalized for next year in consultation with DCIA, ED, and former Board member Xenia Cox. First draft of budget for next year has been developed. The Finance Committee to meet on May 12th. State Budget has been approved.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

May 6, 2014

Siting and Elected Official Engagement

Developer would like to extend building delivery date to July 2017.

Development

A team of five representing Mott Hall Charter participated in the May 4th Riverdale Run/Walk. Proceeds of approximately \$3,000 will go toward supporting summer enrichment for our students (Girls Leadership Institute Camp and STEM Camp at the US Naval Academy).

MHCS submitted \$600,000 grant to expand and enhance school counseling and social-emotional health programming.

We continue to work with Casita Maria to submit OST grant for after school enrichment.

We met with Hayden Foundation May 2nd to discuss grant opportunities and are assessing potential partnership with Amani Charter School for Teaching is the Core Assessment grant.

Board Recruitment

We are continuing to recruit new potential Board members. See attached attendance log

Committee/ Taskforce and other Updates

Academic Taskforce - no updates.

Development Taskforce - no updates.

Finance Committee - no updates.

Executive Committee - no updates.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

May 6, 2014

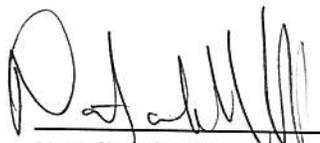
Forward Agenda

1. Report back on a more fulsome implementation framework and timeline for the Sanctuary Model that better reflect the alignment to other programs offered at MHCS.
2. Committee/Taskforce Updates
3. Board Packet Review

Adjournment

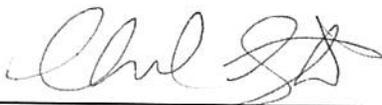
There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

Respectfully submitted,

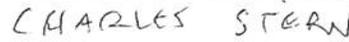


Natalie Thompson
Secretary

APPROVED:



Sarah Calderon
Chair


CHARLES STERN
BOARD MEMBER



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

March 18, 2014

The regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on March 18, 2014, beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Brenda Bravo, Sarah Calderon, Peter Oroszlany, Charles Stern, Natalie Thompson and Francesca Weindling.**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS, Michael Williams, Principal, Jessenia Cursio, Director of Social and Emotional Health** and members of the teaching staff.

Patrick Awosogba was absent from the meeting.

Jesse Margolis and **Matthew Friedman** attended the meeting as guest.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Natalie Thompson recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment was made.

Board Motions and Approvals



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

March 18, 2014

1. Acknowledgement of the Approval of Prior Meeting Minutes. Charles Stern made a motion to approve the March 4, 2014 meeting minutes. They were reviewed and unanimously approved by the BoT.
2. No additional Board Motions were made.

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Academic, High School Placement, and Enrichment Program

Peter Oroszlany conducted training in the Danielson Observation Protocol for the Executive Director, Principal, and Director of Curriculum, Instruction, and Assessment. Since February 27, the Principal has conducted twenty-four informal observations and four formal observations, providing same day feedback in all cases.

The school has implemented the following test preparation plan for upcoming state ELA exam:

- Test prep expert Jonathan Arak shared "Process of Elimination" technique with all core subject teachers and presented to the entire student body
- Week of February 24 – all students completed online I-Ready diagnostic
- Week of March 3 – ELA and Math teachers examined data from diagnostic, began test prep planning
- Week of March 10 – began test prep three days a week in Math and ELA
- Week of March 17 – three day a week test prep in Math and ELA; beginning one-on-one and group tutoring
- Week of March 24 - - continuance of three day a week test prep in Math and ELA and one-on-one and group tutoring

Executive Director and DCIA visited MHSTA to review IB Candidacy Application.
Three students who meet criteria have begun application process for Prep for Prep.

Staffing



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

March 18, 2014

Postings on Idealist and Gotham Schools have yielded 28 candidates for further review as of March 10th. These candidates are being phone screened and those who pass will be invited in for interviews and demo lessons.

Executive Director, Principal, and DCIA attended Charter Center Hiring Fair on March 12th.

Accountability

Data Board has been updated with twice monthly updates of attendance, homework completion, GPA improvement, and scholar of the week status. Teachers will receive this data as well.

HR and Finance

Next year budget development is underway.

Siting and Elected Official Engagement

Executive Director participated in a briefing called held by the Charter Center about proposed legislation in Albany to provide facility funding equity for Charter Schools.

Board Recruitment

We are continuing to recruit new potential Board members.

Report on Board requested Actions from February 25th board meeting

Mr. Lesser updated the board on the following actions;

- The MHCS website is being re-designed to better reflect the governance structure of the school as well as the actions and activities of the board. A demo of the site will be reviewed at the next board meeting
- The Academic Task Force reviewed teacher observation and feedback as well as professional development for classrooms. Board of Trustee Peter Oroszlany and Patrick Awosogba are leading the effort



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

March 18, 2014

- The Executive Director and Director of Social and Emotional Health reviewed the Sanctuary implementation plan

Committee/ Taskforce and other Updates

Academic Taskforce reported on the plan of action and monthly check-ins scheduled with the Principal and Director of Curriculum, Instruction, and Development. IB application was reviewed.

Development Taskforce reported on the school's participation in the May 4th Riverdale Walk/Run. A team from the school will be running and funds will be raised to support MHCS critical needs as well as Health and Wellness initiatives.

Finance Committee has started working on a draft budget and will present it in the coming weeks.

Executive Committee had no new actions to report.

Forward Agenda

1. Report Back from:
 - a. **Jessenia Cursio**, Director of Social and Emotional Health will present on a more fulsome implementation framework and timeline for the Sanctuary Model that better reflects the alignment to other programs offered at MHCS.
2. Committee/Taskforce Updates
3. Board Packet Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

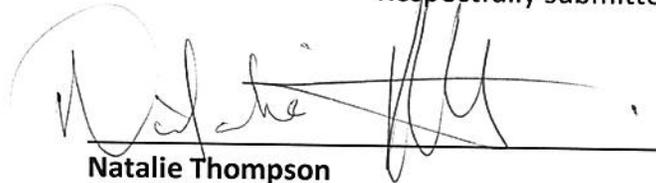


MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

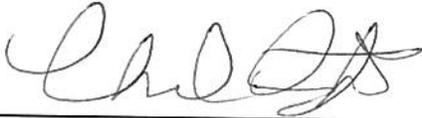
March 18, 2014

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Natalie Thompson', written over a horizontal line.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', written over a horizontal line.

Sarah Calderon Charles Stern
Chair Member



MOTT HALL
CHARTER SCHOOL

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

March 4th, 2014

The regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on March 4th, 2014, beginning at approximately 5:45 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Brenda Bravo, Sarah Calderon, Charles Stern, and Francesca Weindling.**

The following school staff members participated: **Bob Lesser, Executive Director- MHCS, Michael Williams, Principal, Lydia Cordero, Director of Curriculum, Instruction, and Assessment, five members of the teaching staff.**

Natalie Thompson, Peter Orozslany, and Patrick Awosogba were absent from the meeting.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Bob Lesser recorded the minutes.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment was made.

Acknowledgement of the Approval of Prior Meeting Minutes

February 25th, 2014 meeting minutes were reviewed and unanimously approved by the BoT.

Committee/Task Force Report Outs



MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

March 4th, 2014

Academic Task Force - Principal Michael Williams briefed the Board on steps that he and the Director of Curriculum, Instruction, and Assessment have taken to ramp up teacher observation and feedback efforts using the Danielson Rubric. All teachers are being observed weekly and receive feedback within 24 hours.

Fundraising Task Force – Executive Director, Bob Lesser briefed the Board on a fundraiser run that the school will be participating in on May 4th, 2014 in Riverdale. The school will be assembling a team to run and raise money.

Facilities Task Force – The NYC DOE will be allowing Mott Hall to stay in its current location. MHCS' siting was under review.

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Board Resignations

David Tinagero and Xenia Cox tendered their resignations from the Board of Trustees effective March 4th, 2014. The Board wishes them well and thanks them for their service to Mott Hall.

Forward Agenda

1. Report Back on:
 - a. Implementation framework and timeline for the Sanctuary Model
2. Committee/Taskforce Updates
3. Board Packet Review



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

March 4th, 2014

3. Board Packet Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 6:45 pm.

Respectfully submitted,

Natalie Thompson
Secretary

APPROVED:

Sarah Calderon
Chair



MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

February 25, 2014

The regular meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on February 25, 2014, beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present at the meeting: **Patrick Awosogba, Brenda Bravo, Sarah Calderon, Xenia Cox, Peter Oroszlany, Charles Stern, Natalie Thompson and Francesca Weindling.**

The following school staff members participated: **Bob Lesser, Executive Director- MHCS, Michael Williams, Principal, Karlene Cowan, Director of Operations.**

David Tinagero was absent from the meeting.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Natalie Thompson recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment was made.

Acknowledgement of the Approval of Prior Meeting Minutes

October 15, 2013 meeting minutes were reviewed and unanimously approved by the BoT.

Board Approvals



MOTT HALL
CHARTER SCHOOL

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

February 25, 2014

1. The Board unanimously approved the revised 2014 Trustee Meeting Calendar. The 2014 Calendar will be posted to the MHCS web site.
2. The Board unanimously approved the engagement of Victory Partners -Little Bird end to end comprehensive HR expertise for Charter Schools. This package includes HR technology, an integrated, easy-to-use, cloud-based information system to manage all HR needs. This cost effective offering covers, recruiting, on-boarding, benefits setup and administration, payroll, 403B as well as a compliance and wellness component. Little Bird will be offered to staff in the early fall.
3. Jesse L. Margolis and Matthew Friedman were invited, vetted and unanimously approved by the Board to sit on the MHCS Board of Trustee beginning March 2014.

Executive Director Updates

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet highlighted below. Packets were available prior to Board meeting.

Academic, High School Placement, and Enrichment Program

The second quarter ended Friday, January 31. Report cards were submitted during parent-teacher conferences on Friday, February 7. Parent turnout was strong, and the overall tone was positive. The Executive Director, Principal, and Dean attended a two-day training on AVID to learn more about the program and assess it for use at MHCS.

Test Preparation - A plan has been created for test preparation for our upcoming ELA State Exam, which begins on March 31. Beginning Monday, February 24th, we will increase test prep to three days per week in ELA and Math classes. Professional Development sessions will also be dedicated to ELA best practice sharing. During the mid-winter break, MHCS provided extra instruction in ELA and Mathematics for our struggling students. A parent workshop was conducted on how parents can support their children in test preparation.

Lucy Matos will be starting as Principal Coach to Michael Williams this March.



MOTT HALL
CHARTER SCHOOL
BROOKLYN, NY

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

February 25, 2014

Staffing

We have begun posting for teacher positions for next year on Idealist and Gotham Schools and registered on the recruitment site MyEdMatch. We will be attending the Charter Center hiring fair on March 12th.

HR

Mid-Year evaluations are underway for all staff. This process should be completed in early March.

Siting and Elected Official Engagement

Our Parent Liaison and 12 MHCS parents participated in Charter Advocacy Day in Albany Tuesday February 4th.

Board Recruitment

We continue to receive resumes for new potential Board membership.

SED Check-In Site Visit Feedback and Action Items

SED conducted a check-in site Visit on January 28th from 9am-noon. The SED observations highlighted areas of concern around governance, communication, teaching and learning.

Mr. Lesser reviewed the school's response to the SED which highlights the following Board requested actions.

- Approval of yearly board meeting calendar which will be posted to the MHCS website
- Increase communication channels to staff and leadership through increased meetings and teambuilding activities
- Conduct regular check-ins with the Academic Task Force to review the teacher observation and feedback as well as professional development for classrooms. First check-in scheduled for March 4th, with Board of Trustee Peter Oroszlany and Patrick Awosogba, with a broader report back on the Danielson Rubric and the Sanctuary Model framework at the next Board meeting.



MOTT HALL
CHARTER SCHOOL
NEW YORK CITY

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

February 25, 2014

- Re-design of the website to better reflect the governance structure and highlight activities of the Board

Committee/ Taskforce and other Updates

Fundraising Taskforce reported back on the outcomes of the first fundraiser which took place January 28, 2014 at Amali Restaurant (60th between Park and Lexington Avenue). We raised over \$50,000 to support the school's most pressing needs and secured a grant in the amount of \$2,000 from GrowNYC to create school garden this spring.

Board members volunteered to be members of the event Host Committee and will continue to develop the relationships and contacts from the event.

All other committee/taskforce updates were moved to next the meeting

Forward Agenda

1. Report Back from:
 - a. Principal on the Danielson Rubric and the implementation schedule
 - b. Director of Social and Emotional Health on the Implementation framework and timeline for the Sanctuary Model
2. Committee/Taskforce Updates
3. Board Packet Review

Adjournment



**MOTT HALL
CHARTER SCHOOL**
FINLEY THE CHARTERED

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

February 25, 2014

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Natalie Thompson', written over a horizontal line.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', written over a horizontal line.

Sarah Calderon
Chair



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

January 21st, 2014

A regular meeting of the Board of Trustees (the "**Board**") of the Mott Hall Charter School (the "**MHC**"), was scheduled at **Mott Hall Charter School, 1260 Franklin Avenue, Bronx, NY 10456 on January 21, 2014.**

This meeting was **canceled** due to inclement weather.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'N. Thompson', with a long horizontal flourish extending to the right.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', with a long horizontal flourish extending to the right.

Sarah Calderon



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

December 17, 2013

A regular meeting of the Board of Trustees (the “**Board**”) of the Mott Hall Charter School (the “**MHC**”), was scheduled at Mott Hall Charter School, 1260 Franklin Avenue, Bronx, NY 10456 on **December 17, 2013**.

This meeting was **canceled**, as a quorum of Trustees was not present.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Natalie Thompson', written over a horizontal line.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', written over a horizontal line.

Sarah Calderon



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

November 19, 2013

A regular meeting of the Board of Trustees (the "Board") of the Mott Hall Charter School (the "MHC"), was scheduled at Mott Hall Charter School, 1260 Franklin Avenue, Bronx, NY 10456 on **November 19, 2013**.

This meeting was **canceled**, as a quorum of Trustees was not present but a meeting was held.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Natalie Thompson', written over a horizontal line.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', written over a horizontal line.

Sarah Calderon



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

October 15, 2013

The monthly meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on October 15, 2013, beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present in person at the meeting: **Brenda Bravo, Sarah Calderon, Xenia Cox, Peter Oroszlany, Charles Stern, and Natalie Thompson.**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS**

Patrick Awosogba, David Tinagero and Francesca Weindling were absent from the meeting.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Natalie Thompson recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment.

Acknowledgement of the Approval of Prior Meeting Minutes

July 16, 2013 meeting minutes were reviewed and unanimously approved by the BoT.

Executive Director Updates



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

October 15, 2013

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet. Packets were available prior to Board meeting.

Academic and Enrichment Program

The school will be utilizing the Wilson reading intervention. Teachers have begun Wilson training as a Response to Intervention service. The Danielson Rubric has been introduced to teachers with a focus on the Engaging Students in Learning Domain. Interim assessment data will be analyzed to determine implications for instruction at the end of this month.

The Afterschool Enrichment Program began September 30th. 73% (110) of our students are participating in Afterschool Enrichment. Enrichment offerings include STEM Club, Tech Team, Green Team, Chess Club, Fashion Club, Dance Squad, Jazz Ensemble and Student Athlete Program.

Social and Emotional Health

Right Choice Development System – We have started Our Right Choice Development system, which coaches discipline in terms of making the proper choices and provides students with an opportunity to reflect on poor choices with a chance to make amends and restore whatever relationships have been damaged.

Mental Health Community Outreach

A resource guide is being developed in order to assist parents with securing needed services.

Staffing

We are still seeking a Special Education teacher. We have no other open positions at this time.

Accountability



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

October 15, 2013

Jesse Margolis has completed his initial engagement with MHCS. We are proposed to extend his contract through December to train staff in the production of the Q1 Accountability report.

Siting and Elected Official Engagement

The Joint Public Hearing for MHCS' extension of co-location proposal was held on October 8th. The school collected 63 signed parent letters and approximately 9 parents spoke in support of our school. The PEP vote will be held Tuesday, October 15th to determine our long-term co-location status.

Committee/ Taskforce and other Updates

Fundraising Taskforce presented the current plan for our first fundraiser which will take place January 28, 2014 at Amali Restaurant (60th between Park and Lexington Avenue).

Board members will volunteer to be members of the event Host Committee and we have engaged an events planner to assist with administrative details.

Finance Committee reviewed the audit report.

No other Committee/Taskforce updates

Board Approvals

Due to changes in banking oversight the Board unanimously approved the following changes:

Bob Lesser's title will be changed to "President" for banking purposes in order to administer the bank account and Michael Williams will be added to the bank account as an additional check signer.

Jesse Margolis' Whole Child Accountability system contract will be extend through November for an additional \$8,000 to produce the Q1 MHCS Accountability report and to train MHCS staff in the data collection and generation necessary to produce this report for Q2 and beyond.



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

October 15, 2013

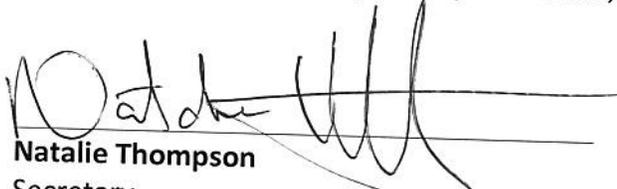
Forward Agenda

1. Committee/Taskforce Updates
2. Board Packet Review

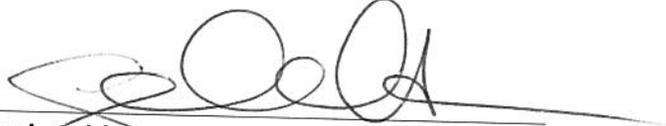
Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

Respectfully submitted,


Natalie Thompson
Secretary

APPROVED:


Sarah Calderon
Chair



**MOTT HALL
CHARTER SCHOOL**
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

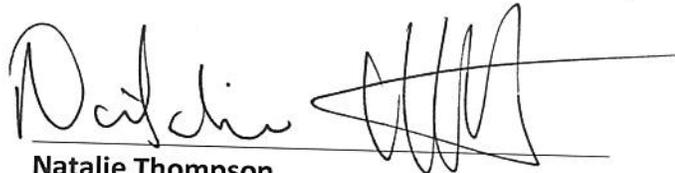
MOTT HALL CHARTER SCHOOL

September 17, 2013

A regular meeting of the Board of Trustees (the “**Board**”) of the Mott Hall Charter School (the “**MHC**”), was scheduled at **Mott Hall Charter School, 1260 Franklin Avenue, Bronx, NY 10456 on September 17, 2013.**

This meeting was **canceled**, as a quorum of Trustees was not present.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Natalie Thompson', written over a horizontal line.

Natalie Thompson
Secretary

APPROVED:

A handwritten signature in black ink, appearing to read 'Sarah Calderon', written over a horizontal line.

Sarah Calderon



MOTT HALL
CHARTER SCHOOL

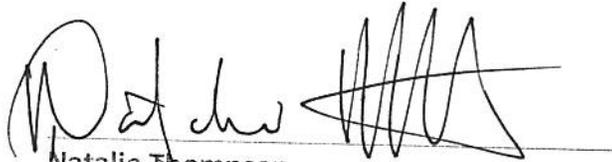
MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

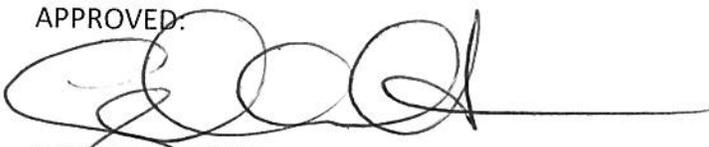
August 20, 2013

A regular meeting of the Board of Trustees (the "Board") of the Mott Hall Charter School (the "MHC"), was scheduled at Mott Hall Charter School, 1260 Franklin Avenue, Bronx, NY 10456 on August 20, 2013.

This meeting was canceled, as a quorum of Trustees was not present.

Respectfully submitted,


Natalie Thompson
Secretary

APPROVED:

Sarah Calderon



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

July 16, 2013

The annual meeting of the Board of Trustees (the “**Board**” or “**BoT**”) of the Mott Hall Charter School (the “**MHCS**”), was held at Mott Hall Charter School, Annex of 1260 Franklin Avenue between 168th and 169th Street, Bronx, NY 10459 on July 16, 2013, beginning at approximately 5:30 p.m. local time pursuant to notice duly given.

Attendance

The following Trustees were present in person at the meeting: **Patrick Awosogba, Brenda Bravo, Sarah Calderon, Charles Stern, Natalie Thompson, and Francesca Weindling.**

The following school staff members participated in person: **Bob Lesser, Executive Director- MHCS, Michael Williams, Principal – MHCS.**

Xenia Cox, Peter Oroszlany and David Tinagero were absent from the meeting.

No parent(s) attended this meeting.

Sarah Calderon presided at this meeting and Natalie Thompson recorded the minutes as secretary.

Call to order and Public Comment

After noting that a quorum was present, the meeting was called to order. Ms. Calderon reviewed the agenda and opened the floor for public comment. No public comment.

Acknowledgement of the Approval of Prior Meeting Minutes

June 18, 2013 meeting minutes were reviewed and unanimously approved by the BoT.

Executive Director Updates



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE

MOTT HALL CHARTER SCHOOL

July 16, 2013

Board Packet Review - Mr. Lesser briefly reviewed the contents of the Board Packet. Packets were available prior to Board meeting.

Academic Program

The School ran four Learning Journeys this July. These experimental small group experiences included gardening at the local community garden, learning about the Bronx River with Rocking the Boat, Living the Yoga Lifestyle, and learning about the restaurant business at Amali restaurant which made both NY1 and Bronx news.

Michael Williams and Lydia Cordero attended the Category 2 Heads of School IB training. Over the summer, all teachers are mapping out their curriculum for the year using the IB Unit Planner.

Student Choice Development

The School will be implementing a new approach to student discipline called Choice Development. This approach focuses on helping students understand the choices they make, good and bad. The program includes progressive and restorative

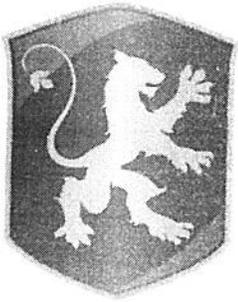
Staffing

The following joined the MHCS team this month; Michael Williams, Principal, Lydia Cordero, Director of Curriculum, Instruction and Assessment and Chris Matesic, Dean of Student Life, Enrichment and High School Placement.

We are actively recruiting for the following teaching positions: 6th grade Humanities, 7th grade ELA, and 2 Special Education Teachers.

Elected Official Engagement, Parent Events and Communications

We held a Family Field Day on the last day of school for students, the afternoon of July 10th. Bronx Lebanon Hospital provided a DJ, bounce houses, face painters, and health and wellness services. Current



MOTT HALL
CHARTER SCHOOL
OF THE DISTRICT OF COLUMBIA

MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

July 16, 2013

and new parents were invited. We are actively engaging Council Member Helen Foster and Assembly Member Eric Stevenson over the summer.

Committee/ Taskforce and other Updates

No Committee/Taskforce updates

Jesse Margolis updated the Board on the Mott Hall Charter School Accountability System, its development and progress to date.

Forward Agenda

1. Committee/Taskforce Updates
2. Board Packet Review

Adjournment

There being no further business to come before the Board, the meeting was adjourned at 7:30 pm.

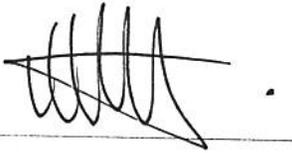
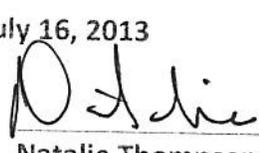
Respectfully submitted,



MOTT HALL
CHARTER SCHOOL
RISE TO THE CHALLENGE

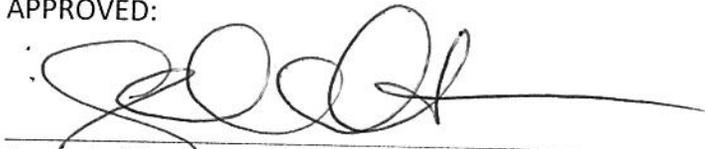
MINUTES OF A MEETING OF THE BOARD OF TRUSTEES OF THE
MOTT HALL CHARTER SCHOOL

July 16, 2013



Natalie Thompson
Secretary

APPROVED:



Sarah Calderon
Chair



This year Mott Hall Charter Schools plan for recruiting ELL and SWD students were similar to that of last school year.

- 1) We send information to all district schools via mailing.
- 2) We partnered with Vanguard to reach students in district 9. There is a high population of SWD and ELL students in district 9.
- 3) We send materials in both English and Spanish
- 4) We partnered with the NYC Charter center for the application process. With this electronic application, parents had the choice of language and were informed that we accept SWD and ELL students.

Strategies for Recruiting ELL Students **(Taken from the New York City Charter Schools ELL Consortium)**

The new [charter law for New York](#), passed in May 2010 has several implications for charter schools and ELL students. Under the new law:

- Charter schools must continue to show a good faith effort to attract and retain a comparable or greater enrollment of ELL students when compared with the enrollment numbers of ELL students in other schools in the same district;
- Charter schools can now be terminated for repeated failure to comply with the requirement to meet or exceed these ELL enrollment and retention targets (unless this would be the only reason for termination and the charter school can show that it made extensive efforts to recruit and retain such students - such as outreach to families and parents in the community, widely publicizing the lottery, and efforts to academically support ELL students);
- In their annual reports to the Board of Regents, charter schools must now include their efforts in the current school year, and their plan for the next school year, to meet and exceed ELL enrollment and retention targets;
- Charter school application forms must be provided in the languages predominantly spoken in a charter school's local community; and
- Applications for new charter schools must include the charter school's plan to meet or exceed ELL enrollment and retention targets.

As charter schools now need to plan for, and document, their efforts to recruit a number of ELL students that is comparable to district schools within the same district, strategies for recruiting ELL students include:

- Taking time to learn about the cultures and needs of ELL families in your school's community;

- **Mott Hall Charter School has met with parents in groups and one on one via workshops, open houses sessions, parent teacher conferences, and other unscheduled parent visits. At this time parents have share their concerns and challenges. Parents have been given contact information for every staff member in the school should they have any questions or concerns. Information is supplied in English and Spanish on all correspondences that go home.**
- Creating school recruitment/lottery materials in English and in the school community's predominant languages.
 - **Mott Hall Charter School is located in district 9. According to district data the community is 88.3% Spanish-speaking amongst all students and 89% of the ELL populations in this district speak Spanish.**
- Resources for translation services can be accessed at: [DOE Translation & Interpretation Unit](#)
 - **40% of our staff is multi-lingual with Spanish as their second language. Our Administrative Assistant is multi-lingual speaking Spanish and French. All correspondences sent home are in English and Spanish. Workshops, conferences, and meetings are held with staff on site to interpret as needed.**
- Understanding that written materials are not always enough - place phone calls to ELL families;
 - **Mott Hall Charter School uses School Reach to communicate with parents. Messages are properly translated into Spanish and verbally (not automated as this tend to be hard to understand even in English) sent out. Upon receipt of the call parents have to option to press 1 for English and 2 for Spanish to listen to the school communication.**
- Utilizing ELL family members who are already in your school community - have them be spokespeople for your school;
 - **We have done this for this current recruiting year. We have a few parents who we have supplied with recruitment materials to distribute around the community and share with family, co-workers, and friends.**
- Having a bilingual staff member or volunteer who can help answer families' questions and fill out paperwork;
 - **For any event the school host, staff who speak Spanish are on site to translate and assist parents as needed. Materials are also provided in English and Spanish.**
- Finding community interpretation services for lower-incident languages; and
 - **We do not need outside translators as we can provide this service with our current staff.**
- Hosting open-house meetings and providing translated materials and presenters who can translate for families.
 - **For any event the school host, staff who speak Spanish are on site to translate and assist parents as needed. Materials are also provided in English and Spanish.**

Appendix I: Teacher and Administrator Attrition

Created Friday, August 01, 2014

Page 1

Charter School Name:

Instructions for completing the Teacher and Administrator Attrition Tables
ALL charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2013, the FTE for added staff from July 1, 2013 through June 30, 2014, and the FTE for any departed staff from July 1, 2013 through June 30, 2014 using the two tables provided.

2013-14 Teacher Attrition Table

FTE Teachers on June 30, 2013	FTE Teachers Additions 7/1/13 – 6/30/14	FTE Teacher Departures 7/1/13 – 6/30/14
8	3	11

2013-14 Administrator Position Attrition Table

FTE Administrator Positions On 6/30/2013	FTE Administrator Additions 7/1/13 – 6/30/14	FTE Administrator Departures 7/1/13 – 6/30/14
4	3	2

Thank you

Appendix J: Uncertified Teachers

Created Monday, August 04, 2014

Page 1

Charter School Name: 320900861004 MOTT HALL CS

Note Definition of FTE:

Full-time equivalent employees equal the number of employees on full-time schedules plus the number of employees on part-time schedules converted to a full-time basis. The number of full-time equivalent employees in each industry is the product of the total number of employees and the ratio of average weekly hours per employee for all employees to average weekly hours per employee on full-time schedules. An industry's full-time equivalent employment will be less than the number of its employees on full- and part-time schedules, unless it has no part-time employees (U.S. Commerce--Bureau of Economic Analysis at: http://www.bea.gov/faq/index.cfm?faq_id=368#sthash.8Rbj89kq.dpuf)

How many UNCERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of last day of school in 2013-14?

For each applicable category (i-iv), input the relevant full time equivalent (FTE) count of teachers.

	FTE
(i) uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience	2
(ii) tenured or tenure track college faculty	0
(iii) individuals with two years satisfactory experience through Teach for America	0
(iv) individuals who possess exceptional business, professional, artistic, athletic, or military experience	1
Total FTE (Sum of all Uncertified Teaching Staff)	3

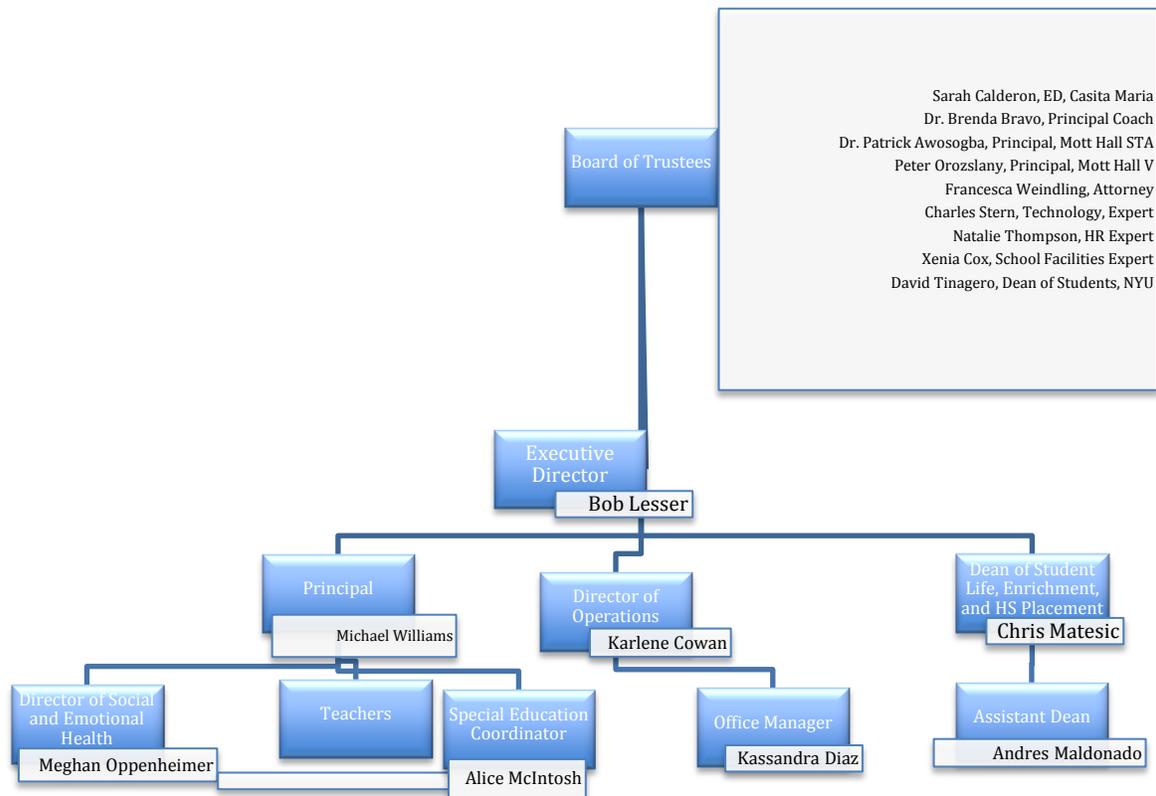
How many CERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of the last day of school in 2013-14?

8

Thank you.



2014-15 Table of Organization



Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Thursday, June 26, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/31e8ccdd01cb046b7803851f2aab6a8aed8054c0/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Ronald Chaluisan

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Secretary
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

Yes

10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

[cmoeY.0] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Position Held	Vice President
[cmoeY.1] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Responsibilities	Oversee Charter Initiative
[cmoeY.2] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Salary	300,000
[cmoeY.3] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Start Date	November 6, 2002

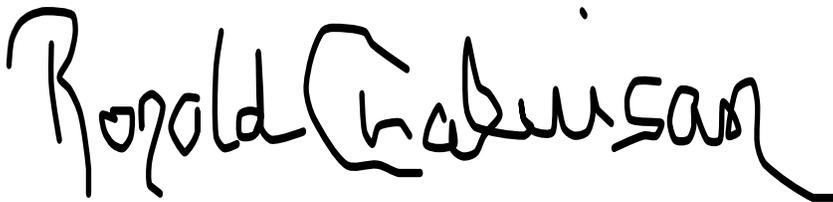
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Rogold Cabuisan". The signature is written in a cursive style with a large initial 'R' and a long, sweeping tail at the end.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Thursday, June 26, 2014

Updated Tuesday, March 10, 2015

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/32e965c2a9d5c1061f1357f83f9acb22543042e8/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Nancy Grossman

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

• Other, please specify...: LCE representative

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

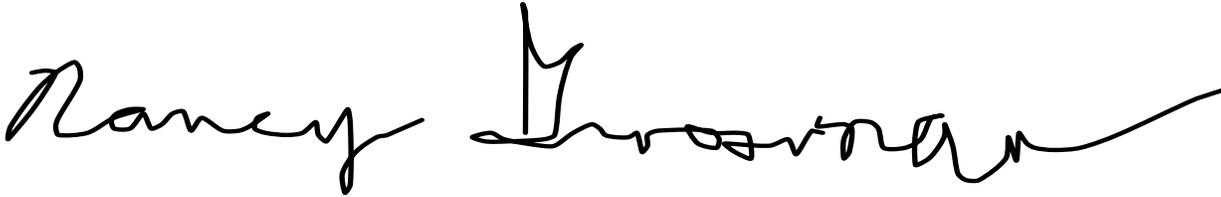
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Nancy Hoover". The signature is written in a cursive style with a large, prominent initial "N" and a long, sweeping horizontal stroke at the end.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Friday, August 01, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/e41427589a47e8938637a01bf4fcbe1fd60f3b89/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

JOHN MULLANE

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

• Other, please specify...: finance committee

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

(No response)

13a. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write None. Please note that if you answered Yes to Question 2-4 above, you need not disclose again your employment status, salary, etc.

Date(s) of Transactions	Nature of Financial Interest/Transaction	Steps Taken to Avoid Conflict of Interest (e.g., did not vote, did not participate in discussion)	Name of Person Holding Interest and Relationship to You
1			
2			
3			
4			
5			

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

(No response)

14a. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write None.

Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Member with Interest	Steps Taken to Avoid Conflict of Interest
1				
2				
3				
4				
5				

Signature of Trustee

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Friday, August 01, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/c230f6a1e25bb2ecafbe569b5686bfef600ba2e2/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Charles Stern

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Two handwritten signatures in black ink. The signature on the left is a cursive name that appears to be "L. Brown". The signature on the right is a more stylized cursive name that appears to be "L. Brown".

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Saturday, August 02, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/0ab56b9ab8f392373b32e51272066e44efa9cc64/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Brenda Bravo

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

held on Board:

(check all that apply)

-
- Vice Chair/Vice President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "B. Brown". The signature is written in a cursive, flowing style with a large initial "B" and a decorative flourish at the end.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Saturday, August 02, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/051e6ea3f18fb43d464493236640a23491e4653c/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Jesse Margolis

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

Yes

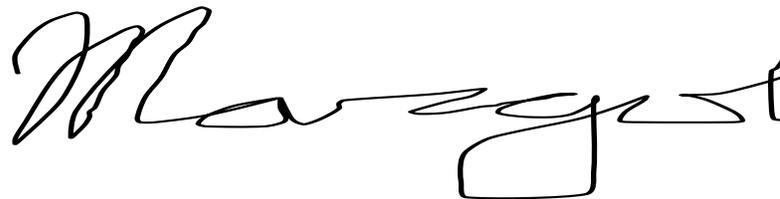
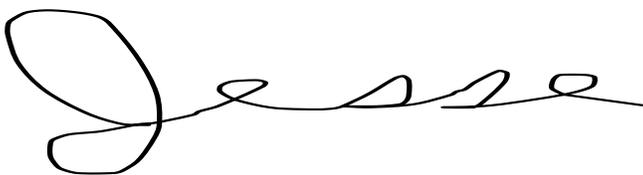
13a. Identify each interest/transaction (and provide the requested information) that you or any of your immediate family members or any persons who live with you in your house held or engaged in with the charter school during the time you have served on the board, and in the six-month period prior to such service. If there has been no such financial interest or transaction, write None. Please note that if you answered Yes to Question 2-4 above, you need not disclose again your employment status, salary, etc.

	Date(s) of Transactions	Nature of Financial Interest/Transaction	Steps Taken to Avoid Conflict of Interest (e.g., did not vote, did not participate in discussion)	Name of Person Holding Interest and Relationship to You
1	12/1/12 to 12/31/13	I was a paid consultant	I was not yet a board member	Jesse Margolis; Self
2				
3				
4				
5				

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee



Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Saturday, August 02, 2014

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/16101d22e219dd1d660ee0f6f99a762344d1b81c>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Sarah Calderon

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Chair/President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

Yes

10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

[cmoeY.0] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Position Held	(No response)
---	---------------

[cmoeY.1] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Responsibilities	(No response)
--	---------------

[cmoeY.2] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Salary	(No response)
--	---------------

[cmoeY.3] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Start Date	(No response)
--	---------------

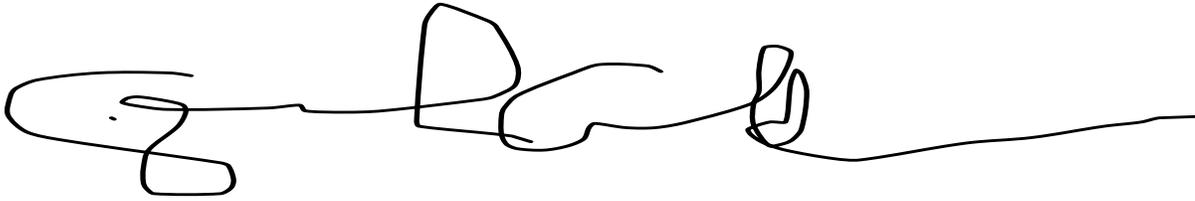
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke extending to the right.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Sunday, August 03, 2014

Updated Tuesday, March 10, 2015

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/0cdf7e7c2062f96414e7ae4e9c76539c61ba5ab/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Matthew Friedman

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Matthew". The letters are cursive and connected.A handwritten signature in black ink that reads "Friedman". The letters are cursive and connected, with a long horizontal line above the letters.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Monday, August 11, 2014

Updated Tuesday, March 10, 2015

<https://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/831e5090777ab9ba1ab0f054ae89fdb432f9bebe/>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Natalie Thompson

2. Charter School Name:

Mott Hall Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

[REDACTED]

8. Select all positions you held on Board:

(check all that apply)

-
- Secretary
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee