



University of the State of New York
State Education Department

2012-13 1003(a)

Title I School Improvement Grants

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Title I School Improvement Grants

School Improvement Grants (SIG) under section 1003(a) and 1003(g) of the ESEA are used to improve student achievement in Title I Focus and Priority Schools.

- 1003(a) funds must be used to complete required Diagnostic Reviews and support implementation of school improvement activities identified through the Diagnostic Tool for School and District Effectiveness (DTSDE) reviews or a school review with district oversight and included in the DCIP/SCEP.
- 1003(g) funds are only available for Priority Schools implementing one of four rigorous school intervention models: turnaround, restart, school closure, and transformation.



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Eligibility:

- Only Title I Focus Districts are eligible to apply for this grant.
- Districts in Good Standing, Non-Title I Focus Districts and Focus or Priority Charter Schools are not eligible for this grant.
- In order to receive a 1003(a) (SIGA) grant, a district must:
 - ✓ Submit an Outside Educational Expert (OEE) application to NYSED
 - ✓ Receive approval of the OEE from NYSED
 - ✓ Have the OEE participate in the required training to serve on an IIT, and
 - ✓ Have the OEE participate in IIT school reviews as scheduled by NYSED.



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Allocations:

1. Title I **Focus District** base allocation: **\$30,000** per district
2. Title I **Priority School** allocations:
 - NYSED-led IIT on-site DTSDE review: **\$45,000** per school
 - District-led DTSDE review: **\$25,000** per school
 - School review with District oversight: **\$15,000** per school
3. Title I **Focus School** allocations:
 - NYSED-led IIT on-site DTSDE review: **\$30,000** per school
 - District-led DTSDE review: **\$20,000** per school
 - School review with District oversight: **\$10,000** per school



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IMPORTANT NOTE:

- **Non-Title I Schools and Priority Schools** receiving **1003(g) SIG** or **School Innovation Fund** Grants are **not eligible** for a 1003(a) allocation.
- Only Title I schools that are providing instruction to students during the 2012-13 school year are eligible for an allocation.
- Title I School Improvement 1003(a) funds may not be used for any school level activities in Non-Title I schools.



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ALLOCATION & FUNDING FAQ:

1. Single building (K-12 with one school BEDs code)
Focus districts should apply for a district allocation of \$30,000 and a school allocation of \$30,000 for the NYSED-led review.
2. Schools receiving SIG 1003(g) or School Innovation Fund (SIF) Grants may submit an amendment to use those funds for DTSDE costs. SIG 1003(g) and SIF amendments should go to the original program office.



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A NYSED-led on-site review will be carried out by an Integrated Intervention Team (IIT) composed of New York State Education Department (NYSED) reviewers and/or consultant reviewers over a period of one, two or three days using the District Tool for School and District Effectiveness (DTSDE). The length of the review will depend on the accountability identification status or the size of the school.

More information about on-site school reviews can be found at:

<http://www.p12.nysed.gov/accountability/documents/DTSDEHandbookFinal113012.pdf>



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A District-led review using the DTSDE protocol consists of a district assigned lead reviewer as well as a team of individuals who will be responsible for conducting the school review. The district lead reviewer is expected to be a person who has participated in the NYSED mandated Diagnostic Tool for School and District Effectiveness (DTSDE) training or has otherwise been certified by NYSED. Other district reviewers, such as content specialists, may participate after receiving appropriate turnkey **district training**.

More information about the DTSDE can be found at:

<http://www.p12.nysed.gov/accountability/documents/DTSDEHandbookFinal113012.pdf>



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A school review with district oversight is focused on the creation and enactment of curriculum as defined in Tenet 3 of the DTSDE. The school principal, in collaboration with any school based management-shared decision entity authorized by the district pursuant to Part 100.11 of Commissioner's Regulations to participate in developing a school comprehensive education plan, completes the DTSDE School Self-Assessment document, which can be found on the SED website under Section 3 at <http://www.p12.nysed.gov/accountability/diagnostic-tool-institute/DTSDEHandbook.html#Section1>



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A school review with district oversight (continued)

- The instructional leader(s) conduct classroom visits using the appropriate DTSDE classroom visitation tool), which can be found at:<http://www.p12.nysed.gov/accountability/diagnostic-tool-institute/DTSDEHandbook.html#Section1>.
- The instructional leader and designated staff also use the Tools to Guide the Collection of Evidence of Shifts in Practice, found under Downloadable Resources at <http://engageny.org/resource/tools-to-guide-the-collection-of-evidence-of-shifts-in-practice> and Tristate Rubric, found at <http://engageny.org/resource/tri-state-quality-review-rubric-and-rating-process> to determine the school's progress in alignment of its curriculum to the Common Core Learning Standards (CCLS) and the implementation of instructional practices that reflect the six Common Core Shifts.



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A school review with district oversight (continued)

- The school summarizes the review results against the Tenet 3 rubric and the implications for development of its next School Comprehensive Education Plan (SCEP). The district completes a similar summary in terms of the District Comprehensive Improvement Plan (DCIP). This option may be best for schools that received an SQR, ESCA or JIT review in 2011-12.
- Districts that already have an internal school review process that addresses at least 3 of the 5 tenets of the DTSDE and can be used to determine the school's progress in alignment of its curriculum with the Common Core Learning Standards and the implementation of instructional practices that reflect the six Common Core Shifts, may use that process as the basis for the School Review with District Oversight.(NYSED approval required)



Title I SIG 1003(a) Allowable Activities

1. Conducting district and school level reviews using the DTSDE (including required training costs and administration of any DTSDE parent, staff or student surveys) or conducting a school review (focused on DTSDE Tenet 3) with district oversight,
2. Hiring an Outside Educational Expert (OEE) or Distinguished Educator (DE) (only applicable for 2 LEAs) to help conduct required reviews or implement improvement plans,
3. Developing District Comprehensive Improvement and/or School Comprehensive Education Plans (DCIP/SCEP), and
4. Implementing activities to achieve a specific major goal from the approved DCIP/SCEP (including preparing Priority Schools for implementation of one of the four federal intervention models: Turnaround, Transformation, Restart, or Closure).



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Allowable Expenses (general):

- Up to 10% of activity cost for supplies is reasonable (activity #1, 3 & 4). Additional supplies require full review for approval.
- Consultants other than NYSED approved OEEs or DEs should be included with activities 1, 3 & 4 (NOT activity #2).
- Contractual fees for consultants, OEEs and DEs should include supplies, travel, training, etc. unless specifically stated in the contract.
- Indirect cost (up to \$25,000 per contract).

Unallowable Expenses (general):

- School costs for NON-Title I buildings
- Food or refreshments (except registration for DTSDE training)
- Construction, renovation, furnishings, or acquisition of technology



Title I SIG 1003(a) Allowable Expenses

Activity 1: Conducting district and school level reviews (including required DTSDE training and survey costs)

- NYSED-led required DTSDE training for staff (registration, travel, per diems, etc.)
- Substitutes or overtime for staff participating in district and school reviews
- Administration of any DTSDE parent, staff or student surveys
- Consultants other than OEEs or DEs needed for district and school reviews
- Supplies & materials for district and school reviews



Title I SIG 1003(a) Allowable Expenses

Activity 2: Hiring an Outside Educational Expert (OEE) or Distinguished Educator (DE) to help conduct required reviews or implement improvement plans

- District costs for OEE/DE DTSDE training should be shared proportionally among districts as applicable.
- OEE name(s) and training cost breakdown should be included in each district narrative to expedite reviews
- Costs for OEE/DE participation in district level DTSDE reviews & DCIP/SCEP
- Costs for OEE/DE participation in DTSDE & SCEP activities for Title I Schools
- ONLY include NYSED approved OEEs or Commissioner appointed DEs in activity #2.



Title I SIG 1003(a) Allowable Expenses

Activity 3: Developing District Comprehensive Improvement and School Comprehensive Education Plans (DCIP/SCEP)

- Substitutes or overtime for staff to develop DCIP/SCEP
- Consultants other than OEEs or DEs needed to develop DCIP/SCEP
- Limited supplies needed for DCIP/SCEP



Title I SIG 1003(a) Allowable Expenses

Activity 4: Implementing a specific major goal from the approved DCIP/SCEP (including activities related to preparing Priority Schools for implementation of whole school reform models)

- Substitutes or overtime for staff to implement a specific major DCIP/SCEP activity (citation required)
- Consultants and trainers needed to implement a specific major DCIP/SCEP activity Consultants other than OEEs or DEs needed to prepare Priority Schools for implementation of whole school reform models
- Supplies needed to implement a specific major DCIP/SCEP activity (citation required)



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IMPORTANT NOTES:

1. Project Period: **September 1, 2012 to August 31, 2013**
2. Applications are due **February 15, 2013**, but will be accepted until **March 1, 2013** (grace period, no extension request needed).
3. **THERE IS NO CARRYOVER.**
4. Districts may submit budgets for less than the full allocation and submit amendments as needed until June 30, 2013.
5. **Amendments are due 6/30/13. Prior approval is required for all NEW activities.**
6. Amendments to adjust costs for **approved** activities or fund codes can be accepted at any time; however... **Supplies exceeding 10% of an activity cost require a full review and must be submitted by 6/30/13.**



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Thank you for joining us today.

For additional information or assistance
please contact:

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